

Export LC Advise User Guide

# **Oracle Banking Trade Finance Process Management**

Release 14.7.5.0.0

**Part No. G15303-01**

September 2024

Oracle Banking Trade Finance Process Management - Export LC Advise User Guide  
Oracle Financial Services Software Limited

Oracle Park  
Off Western Express Highway  
Goregaon (East)  
Mumbai, Maharashtra 400 063  
India  
Worldwide Inquiries:  
Phone: +91 22 6718 3000  
Fax: +91 22 6718 3001  
[www.oracle.com/financialservices/](http://www.oracle.com/financialservices/)

Copyright © 2018- 2024, Oracle and/or its affiliates. All rights reserved.

Oracle and Java are registered trademarks of Oracle and/or its affiliates. Other names may be trademarks of their respective owners.

U.S. GOVERNMENT END USERS: Oracle programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, delivered to U.S. Government end users are “commercial computer software” pursuant to the applicable Federal Acquisition Regulation and agency-specific supplemental regulations. As such, use, duplication, disclosure, modification, and adaptation of the programs, including any operating system, integrated software, any programs installed on the hardware, and/or documentation, shall be subject to license terms and license restrictions applicable to the programs. No other rights are granted to the U.S. Government.

This software or hardware is developed for general use in a variety of information management applications. It is not developed or intended for use in any inherently dangerous applications, including applications that may create a risk of personal injury. If you use this software or hardware in dangerous applications, then you shall be responsible to take all appropriate failsafe, backup, redundancy, and other measures to ensure its safe use. Oracle Corporation and its affiliates disclaim any liability for any damages caused by use of this software or hardware in dangerous applications.

This software and related documentation are provided under a license agreement containing restrictions on use and disclosure and are protected by intellectual property laws. Except as expressly permitted in your license agreement or allowed by law, you may not use, copy, reproduce, translate, broadcast, modify, license, transmit, distribute, exhibit, perform, publish or display any part, in any form, or by any means. Reverse engineering, disassembly, or decompilation of this software, unless required by law for interoperability, is prohibited.

The information contained herein is subject to change without notice and is not warranted to be error-free. If you find any errors, please report them to us in writing.

This software or hardware and documentation may provide access to or information on content, products and services from third parties. Oracle Corporation and its affiliates are not responsible for and expressly disclaim all warranties of any kind with respect to third-party content, products, and services. Oracle Corporation and its affiliates will not be responsible for any loss, costs, or damages incurred due to your access to or use of third-party content, products, or services.

---

# Contents

<b>1. Preface .....</b>	<b>1-1</b>
1.1 Introduction.....	1-1
1.2 Audience.....	1-1
1.3 Documentation Accessibility.....	1-1
1.4 Organization .....	1-1
1.5 Related Documents .....	1-1
1.6 Diversity and Inclusion.....	1-1
1.7 Conventions.....	1-2
1.8 Screenshot Disclaimer.....	1-2
1.9 Glossary of Icons.....	1-2
<b>2. Oracle Banking Trade Finance Process Management .....</b>	<b>2-3</b>
2.1 Overview.....	2-3
2.2 Benefits.....	2-3
2.3 Key Features .....	2-3
<b>3. Export LC Advise .....</b>	<b>3-1</b>
3.1 Common Initiation Stage .....	3-1
3.2 Registration .....	3-2
3.2.1 Application Details .....	3-4
3.2.2 LC Details .....	3-7
3.2.3 Miscellaneous.....	3-11
3.2.4 Document Linkage.....	3-12
3.3 Scrutiny.....	3-16
3.3.1 Main Details.....	3-19
3.3.2 Availability Shipment .....	3-21
3.3.3 Payment Details .....	3-30
3.3.4 Additional Fields .....	3-37
3.3.5 Additional Details.....	3-37
3.3.6 Summary .....	3-57
3.4 Data Enrichment.....	3-60
3.4.1 Main Details.....	3-62
3.4.2 Availability & Shipment .....	3-62
3.4.3 Document Details .....	3-63
3.4.4 Payment Details .....	3-67
3.4.5 Additional Fields .....	3-67
3.4.6 Advices.....	3-67
3.4.7 Additional Details.....	3-72
3.4.8 Settlement Details .....	3-78
3.4.9 Summary .....	3-83
3.5 Exceptions.....	3-86
3.5.1 Exception - Amount Block .....	3-86
3.5.2 Exception - Know Your Customer (KYC).....	3-89
3.5.3 Exception - Limit Check/Credit .....	3-91
3.6 Multi Level Approval .....	3-94
3.6.1 Authorization Re-Key (Non-Online Channel).....	3-94
3.6.2 Summary .....	3-95
3.7 Reject Approval .....	3-97

3.7.1	<i>Application Details</i> .....	3-97
3.7.2	<i>Summary</i> .....	3-97
3.7.3	<i>Action Buttons</i> .....	3-98
<b>4.</b>	<b>Processing Incoming MT 710 at the Advise - Through Bank</b> .....	<b>4-99</b>
4.1	Registration Stage .....	4-99
4.2	Scrutiny.....	4-100
4.2.1	<i>Main Details</i> .....	4-100
4.2.2	<i>Availability Shipment</i> .....	4-101
4.2.3	<i>Payment Details</i> .....	4-103
4.2.4	<i>Additional Fields</i> .....	4-106
4.2.5	<i>Additional Details</i> .....	4-108
4.2.6	<i>Summary</i> .....	4-108
4.3	Data Enrichment Stage .....	4-109
4.3.1	<i>Availability and Shipment</i> .....	4-109
4.3.2	<i>Payment Details Confirmation</i> .....	4-109
4.3.3	<i>Additional fields</i> .....	4-109
4.3.4	<i>Summary</i> .....	4-109
4.4	Approval .....	4-110
4.4.1	<i>Approval Re-key</i> .....	4-110
4.4.2	<i>Approval Summary</i> .....	4-111

---

# 1. Preface

## 1.1 Introduction

This user manual is designed to help you quickly get acquainted with Export LC Advice process in Oracle Banking Trade Finance Process Management.

## 1.2 Audience

This manual is intended for the following User/User Roles:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

## 1.3 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Access to Oracle Support

Oracle customers that have purchased support have access to electronic support through My Oracle Support. For information, visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info> or visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs> if you are hearing impaired.

## 1.4 Organization

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

## 1.5 Related Documents

- Getting Started User Guide
- Common Core User Guide

## 1.6 Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry

standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

## 1.7 Conventions

The following text conventions are used in this document:





Convention	Meaning
<b>boldface</b>	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.
<i>italic</i>	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

## 1.8 Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

## 1.9 Glossary of Icons

This User Manual may refer to all or some of the following icons.

Icons	Function
	Exit
	Add row
	Delete row
	Option List

---

## 2. Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

### 2.1 Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

### 2.2 Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

### 2.3 Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

---

## 3. Export LC Advise

As part of Export LC Advise, the advising bank receives the LC to be advised to the beneficiary from the issuing bank. The letter of credit is advised to the beneficiary through the advising bank. The various stages involved for advice of an Export Letter of Credit are:

- Receive and verify documents (Non Online Channel) - Registration stage
- Input application details
- Upload of related mandatory and non mandatory documents
- Verify documents and capture details
- Input/Modify details of LC - Data Enrichment stage
- Check for limit availability (In case of confirmation)
- Check balance availability for amount block
- Check for sanctions & KYC status
- Earmark limits/Create amount block for cash margin/charges, if applicable.
- Capture remarks for other users to check and act.
- Hand off request to back office

---

### Note

The user can initiate the transfer of LC advise process from the Export LC Advise screen. The process can be initiated manually at the branch or by parsing the incoming MT 700/ 720.

---

In the following sections, let's look at the details for Export LC Advising process:

This section contains the following topics:

<a href="#">3.1 Common Initiation Stage</a>	<a href="#">3.2.4 Document Linkage</a>
<a href="#">3.2 Registration</a>	<a href="#">3.3 Scrutiny</a>
<a href="#">3.4 Data Enrichment</a>	<a href="#">3.5 Exceptions</a>
<a href="#">3.6 Multi Level Approval</a>	<a href="#">3.7 Reject Approval</a>

### 3.1 Common Initiation Stage

The user can initiate the new export LC drawing request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.

## 2. Click Trade Finance > Initiate Task.

Oracle  
Initiate Task

Registration

Process Name  
Export LC Advice

Customer Id \*

Branch \*  
PK2-FLEXCUBE UNIVERSAL BANK

Proceed Clear

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Customer Id	Select the customer id of the applicant or applicant's bank.
Branch	Select the branch.

### 3.1.0.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

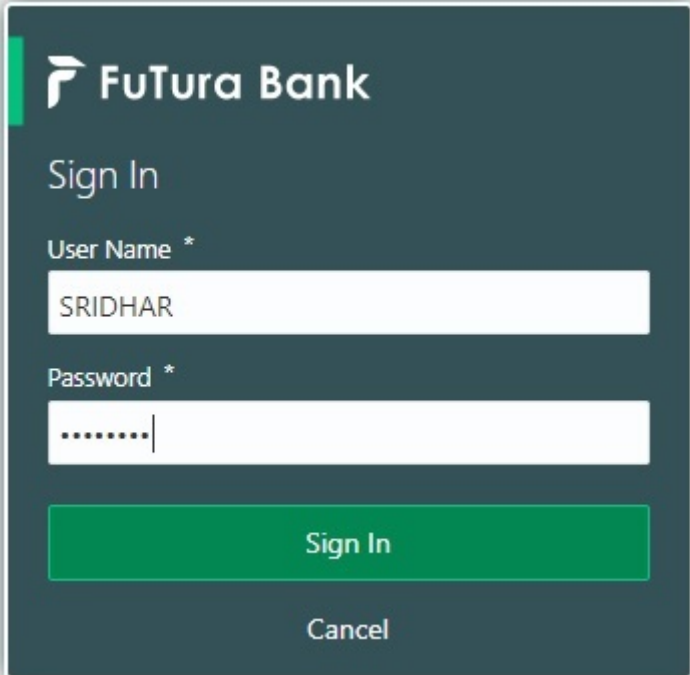
## 3.2 Registration

A user can register a request for an Islamic Export LC Advise received by mail/Courier at the front desk. During registration, user captures the basic details of the request, check the signature of authorized signatory of the issuing bank, and then upload related documents. On submit of the request, the task should be available for an LC expert to handle in the next stage.

The OBTFPM user can process MT798 with sub messages MT726-MT759 message received through SWIFT. The OBTFPM verifies the field 21 and 26E (of the MT759 and identifies the Original Contract Reference Number and Amendment Number and invokes the process. The user can cancel the previously received MT798 referenced message which is under process.

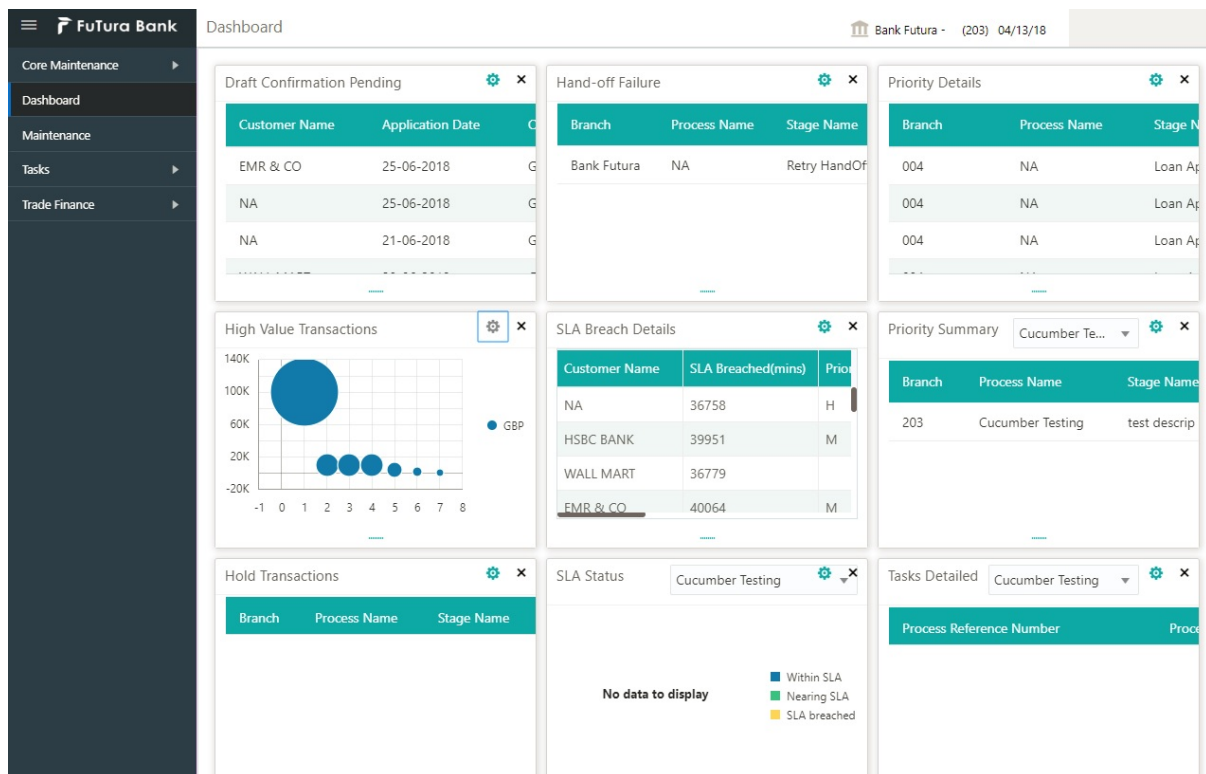
The OBTFPM user can process incoming MT798(up to a maximum of 8 messages) with sub messages MT788-MT799 message received through SWIFT and enables the user to cancel the previously received MT798 referenced message which is under process.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



The image shows the 'FuTura Bank' login interface. It features a dark blue header with the bank's logo and name. Below the header, the text 'Sign In' is displayed. There are two input fields: 'User Name \*' with the value 'SRIDHAR' and 'Password \*' with masked characters. A green 'Sign In' button and a 'Cancel' button are at the bottom.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



### 3. Click Trade Finance> Export - Documentary Credit> Export LC Advise.

**Priority Summary**

Branch	Process Name	Stage Name	No of High Priority Items	No of Medium Priority Items	No of Low Priority Items
GS1	Export LC Amendment Beneficiary Consent	Registration	0	0	0
GS1	Export LC Amendment Beneficiary Consent	Approval1	0	0	0
GS1	Export LC Amendment Beneficiary Consent	Approval2	0	0	0

**High Value Transactions**

Chart showing transactions for USD (blue), INR (green), and GBP (yellow) across a range of values from 0 to 12M.

**High Priority Tasks**

Branch	Process Name	Stage Name	Process Reference Number	Customer Name	User ID
--------	--------------	------------	--------------------------	---------------	---------

The Registration stage has two sections Application Details and LC Details. Let's look at the details of Registration screens below:

#### 3.2.1 Application Details

**Application Details**

720 - Transfer LC

Second Beneficiary: 032204 Air Arabia

Branch: 032-Oracle Banking Trade Finan...

Priority: Medium

Advising Date: Aug 3, 2023

Advising Bank: National Bank

**LC Details**

Type: 220006

Product Code: ELNR

Product Description: Import LC Usance Non Revolving

Form of Documentary Credit Details: REVOCABLE TRANSFERABLE

Documentary Credit Number: 76767555

Date of Issue: Aug 3, 2023

First Beneficiary: [Search]

Amount In Local Currency: AED 1,000.00

Closure Date: [Calendar]

Issuing Bank of the Original Documentary Credit: [Search]

Auto Close: [Toggle]

Buttons: Hold, Cancel, Save & Close, Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
MT 720 – Transfer LC	<ul style="list-style-type: none"> <li>● <b>Toggle On:</b> If it is an Export LC Transfer Advise request.</li> <li>● <b>Toggle Off:</b> If it is an Export LC Advise request.</li> </ul>	
Beneficiary	<p>Select the beneficiary customer from the LOV. If beneficiary is a customer of the bank, system will check for valid KYC status. If KYC status is not valid, system will display alert message.</p> <hr/> <p><b>Note</b></p> <p>For SWIFT processing of incoming MT 700, the user can edit the Party ID and or Name populated by the system to reflect the actual beneficiary details available in incoming MT 700.</p> <hr/> <p>This field will be renamed as <b>Second Beneficiary</b>, if the <b>MT 720 – Transfer LC</b> toggle is enabled.</p>	
Branch	<p>Customer's home branch will be displayed based on the customer ID and it can be changed, if required.</p> <hr/> <p><b>Note</b></p> <p>Once the request is submitted, Branch field is non-editable.</p> <hr/>	203-Bank Futura -Branch FZ1
Priority	<p>This field will be defaulted based on the priority maintenance, also enables the user to change the priority as per the requirement.</p> <p>Set the priority of the Export LC Advice request as Low/Medium/High. If priority is not maintained for a customer, 'Medium' priority will be defaulted.</p>	High
Submission Mode	<p>Select the submission mode of Export LC Advice request. By default the submission mode will have the value as 'Desk'.</p> <p><b>Desk-</b> Request received through Desk</p> <p><b>Courier -</b> Request received through Courier</p> <p><b>SWIFT-Non STP -</b> Request received through SWIFT-Non STP to register the task for the failed STP messages (MT798 and other MT Messages)</p>	Desk

Field	Description	Sample Values
Currency Code, Amount	Select the currency code. Provide the value of LC (with decimal places) as per currency type.	GBP, 1,000.00
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	203IL- CISS00000050 0
Advising Date	By default, the application will display branch's current date and enables the user to change the date to any back date.  <div><b>Note</b> Future date selection is not allowed.</div>	04/13/2018
Issuing Bank	Select the issuing bank. Party type with banks will only be displayed in LOV.  The system displays the a) SWIFT code (if available) b) Name and address of the bank  On selection of the record if SWIFT code is available then SWIFT code will be populated, if SWIFT code is not available then the bank's name and address will be populated.  <div><b>Note</b> If the KYC non-compliant party is selected then the system immediately gives instant alert as "Customer ID - (CIF ID) is not KYC compliant."</div>	

Field	Description	Sample Values
OLD Advising Bank	<p>Select the old advising bank.</p> <p>Click the look up icon to search the advising bank based on Party ID/Party name.</p> <p>This field appears, if the <b>MT 720 – Transfer LC</b> toggle is enabled.</p> <div> <p><b>Note</b></p> <p>If the KYC non-compliant party is selected then the system immediately gives instant alert as “Customer ID - (CIF ID) is not KYC compliant.”</p> </div>	001342 -HSBC Bank

### 3.2.2 LC Details

Registration user can provide LC details in this section. Alternately, LC details can be provided by Scrutiny user.

Details

Type  
jht  
vB - Form of Documentary Credit \*  
REVOCABLE TRANSFERRABLE  
Contract Reference Number  
2ELNR232150001  
e of Expiry \*  
1, 2023  
its/Collateral Required

Advising Bank  
220006  
HDFC Bank Ltd  
Form of Documentary Credit Details  
User Reference Number  
032ELNR232150001  
31D - Place of Expiry \*  
39C - Additional Amount Covered  
Auto Close

Product Code \*  
ELNR  
21 - Documentary Credit Number \*  
76767555  
31C - Date of Issue \*  
Aug 3, 2023  
First Beneficiary \*  
Amount In Local Currency  
AED AED 1,000.00  
Closure Date

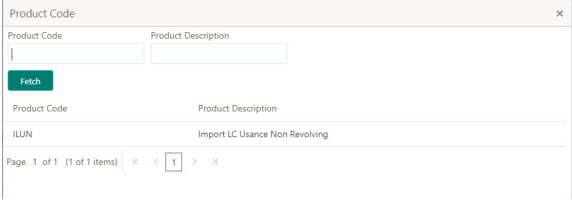
Product Description  
Import LC Usance Non Revolving  
20 - Transferring Bank's Reference \*  
121212121  
40E - Applicable Rules  
UCP LATEST VERSION  
39A - Percentage Credit Amount Tolerance  
/  
50B - Non-Bank Issuer of the Original Documentary Credit

Issuing Bank of the Original Documentary Credit  
Auto Close

Hold Cancel Save & Close Subr

Provide the LC Details based on the description in the following table:

Field	Description	Sample Values
LC Type	<p>Select the applicable LC type from LOV:</p> <ul style="list-style-type: none"> <li>Sight</li> <li>Usance</li> </ul>	
Advising Bank	<p>Select the advising bank.</p> <p>Click the look up icon to search the advising bank based on Party ID/Party name.</p>	001342 -HSBC Bank

Field	Description	Sample Values
Product Code	<p>Select the applicable product code.</p> <p>Click the look up icon to search the product code with code or product description.</p>  <p>Alternatively, enter the product code and on tab out system will validate and populate the selected product description.</p>	ILUN
Product Description	<p>Read only field.</p> <p>Auto populated by the application based on the product code.</p>	Export LC Usance Non Revolving
40A - Form of Documentary Credit	<p>Select the type of LC (Documentary Credit) as per the requirement. Default LC type is <b>Irrevocable</b>.</p> <p>This field will be renamed as <b>40B - Form of Documentary Credit</b>, if the <b>MT 720 – Transfer LC</b> toggle is enabled.</p>	Irrevocable
40A - Form of Documentary Credit Details	Form of Documentary Credit Details.	Irrevocable
20 - Documentary Credit Number	<p>Specify the documentary credit number.</p> <p>This field will be renamed as <b>21 - Documentary Credit Number</b>, if the <b>MT 720 – Transfer LC</b> toggle is enabled.</p>	
Contract Reference Number	<p>Read only field.</p> <p>Contract Reference Number will be defaulted by the system based on selected product code.</p>	
User Reference Number	<p>User Reference Number will be defaulted by the system based on selected product code.</p> <p>User can change the process reference number.</p>	
Reference to Pre-Advice	<p>Provide details of Pre-Advice, if issued by the bank.</p> <p>This field will be removed if the <b>MT 720 – Transfer LC</b> toggle is enabled.</p>	
Date Of Issue	Provide the LC date of issue. Future dates are not allowed.	04/13/18
Transferring Bank's Reference	<p>Provide the transferring bank's reference number.</p> <p>This field appears if the <b>MT 720 – Transfer LC</b> toggle is enabled.</p>	

Field	Description	Sample Values
Applicable Rules	Select the applicable rules for the LC. Default rule if <b>UCP Latest Version</b> .	UCP Latest Version.
Date Of Expiry	Provide the expiry date of the LC. The expiry date can be equal or greater than the issue date. If the expiry date is earlier than the issue date, system will provide an error and if the expiry date is equal to the issue date, system will provide a alert message.	09/30/18
Place of Expiry	Provide the place of expiry of LC.	London
Applicant Bank	Select the applicant bank details, if applicable. This field will be removed if the <b>MT 720 – Transfer LC</b> toggle is enabled.	001343 Bank of America
Applicant	Select the applicant, if applicant is a customer of the bank. If applicant is a walk in customer, provide the details. This field will be renamed as <b>First Beneficiary</b> , if the <b>MT 720 – Transfer LC</b> toggle is enabled.	001344 EMR & CO
Percentage Credit Amount Tolerance	Enables the user to provide tolerance (+/-) on the total LC value. Tolerance value must be either one or two digit value. If Tolerance is more than 10%, alert message will be displayed.	8/2
Limits/Collateral Required	<b>Toggle On:</b> Limit check is required. <b>Toggle Off:</b> Limit check is not required.	
Additional Amount Covered	Provide additional amount included in LC.	
Amount In Local Currency	Read only field. System fetches the local currency equivalent value for the LC amount from back office (with decimal places).	
50B - Non-Bank Issuer of the Original Documentary Credit	Select the Non-Bank Issuer of the Original Documentary Credit from LOV. This field appears if the <b>MT 720 – Transfer LC</b> toggle is enabled.	
52A - Issuing Bank of the Original Documentary Credit	Select the issuing Bank of the Original Documentary Credit from LOV. This field appears if the <b>MT 720 – Transfer LC</b> toggle is enabled.	

Field	Description	Sample Values
Auto Close	<p>Toggle On: Enable the toggle, if Auto close is required for that transactions.</p> <p>Toggle Off: Disable the toggle, if Auto close is not required for that transactions.</p>	
Closure Date	<p>System default the "Closure Date" with the value "Expiry Date" + No of Closure days maintained in the respective Product in which the contract has been created.</p> <p>System automatically close the contract on the specified "Closure Date" if "Auto Close" is selected as "Yes" for the specific contract.</p> <p>User can modify the system defaulted "Closure Date" and system should validate the same for the below conditions,</p> <ul style="list-style-type: none"> <li>• Closure Date must be after the Issue Date.</li> <li>• Closure Date must be after the Expiry Date.</li> <li>• Closure Date cannot be blank, when the "Auto Close" is checked.</li> </ul>	

### 3.2.3 Miscellaneous

Provide the miscellaneous details based on the description in the following table:

Field	Description	Sample Values
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Documents	Upload the mail LC received from issuing bank.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li><b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li><b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing.</li> </ul>	

#### Action Buttons

Field	Description	Sample Values
Submit	On Submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Export LC Advice.  If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the informations provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Export LC Advice Registration inputs.	
Hold	The details provided will be registered and status will be on hold.  This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Checklist	Make sure that the details in the checklist are completed and acknowledged.	

### **3.2.4 Document Linkage**

The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.

2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.

Documents

Document Status
All

Letter of Credit  
Pro-forma Invoice

Letter of Credit  
Application Form

Close

3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Document

Document Type \*  
Letter of Credit

Document Title \*

Remarks

Drop files here or click to select

Selected files: []

Document Code \*  
Insurance Policy

Document Description

Document Expiry Date

Link Document

Upload Link Cancel

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	

Field	Description	Sample Values
Document Title	Specify the document title.	
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.  
The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

Link Document

Customer Id \*

032204

Document Type \*

Document Id

Document Code \*

Fetch

Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number
No data to display.						

Page

1

(0 of 0 items)

<

1

>

Close

5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	
Document ID	This field displays the document Code from meta data.	

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from meta data.	
Document Code	This field displays the document code from meta data.	
Upload Date	The field displays the upload date of the document.	
Reference Number	The field displays the reference number of the document.	

6. Click **Link** to link the particular document required for the current transaction.

Link Document

Customer Id \*  
032204

Document Id

Document Type \*  
Documentary Collection

Document Code \*  
Insurance Policy

Fetch

Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number
<a href="#">Link</a>	1559	032204	HGJH	INSURANCE	Mar 9, 2023	032IDCB000017631
<a href="#">Link</a>	2649	032204	testing	INSURANCE	Mar 29, 2023	032ILCC000021179
<a href="#">Link</a>	4143	032204		INSURANCE	May 8, 2023	032ILCU000032029
<a href="#">Link</a>	4145	032204		INSURANCE	May 8, 2023	032ILCU000032042
<a href="#">Link</a>	4305	032204		INSURANCE	May 10, 2023	032IDCB000033105

Page 1 of 2 (1-5 of 7 items)
1
2

Close

Post linking the document, the user can View, Edit and Download the document.

### 7. Click Edit icon to edit the documents. The Edit Documents

Edit Document

Document Id	Document Title
2400	wqwq
Application Reference Number	Entity Reference Number
PK2ILCI000019041	PK2ILCI000019041
Document Type Id	Document Description
TFCM_DOCTYPE001	
Remarks	Document Expiry Date
	Jun 29, 2022
<div>Drop files here or click to select</div>	
Current selected files: []	

Update

Cancel

## 3.3 Scrutiny

On successful completion of Registration of an Export LC Advice request, the request moves to Scrutiny stage. At this stage the gathered information during Registration are scrutinized.

**Non Online Channel** - Export LC Advice requests that were received at the desk will move to Scrutiny stage post successful Registration. The request will have the details entered during the Registration stage.

**Online Channel** - Requests that are received via online channels like trade portal, external system and SWIFT are available directly for further processing from Scrutiny stage and available data for all data segments from Application stage to Data Enrichment stage would be auto populated.

---

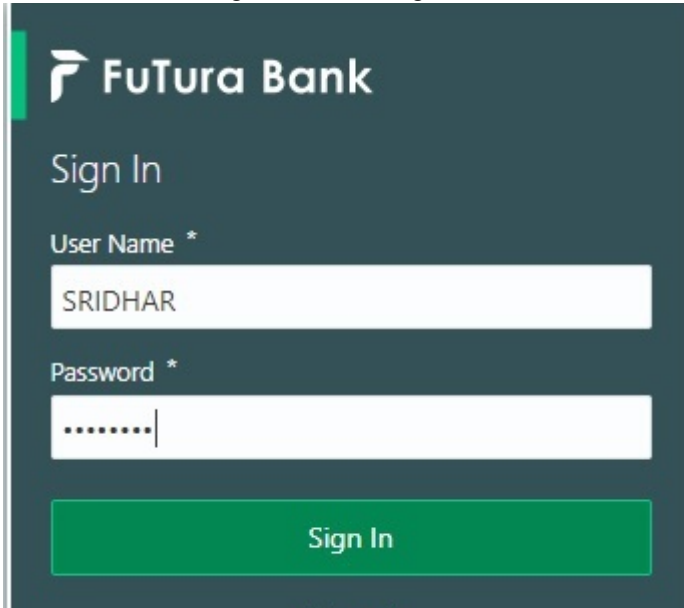
#### Note

In case of SWIFT MT720, requests that are received via online channels like trade portal, external system and SWIFT should be available directly for further processing in OBTFPM from Scrutiny stage.

---

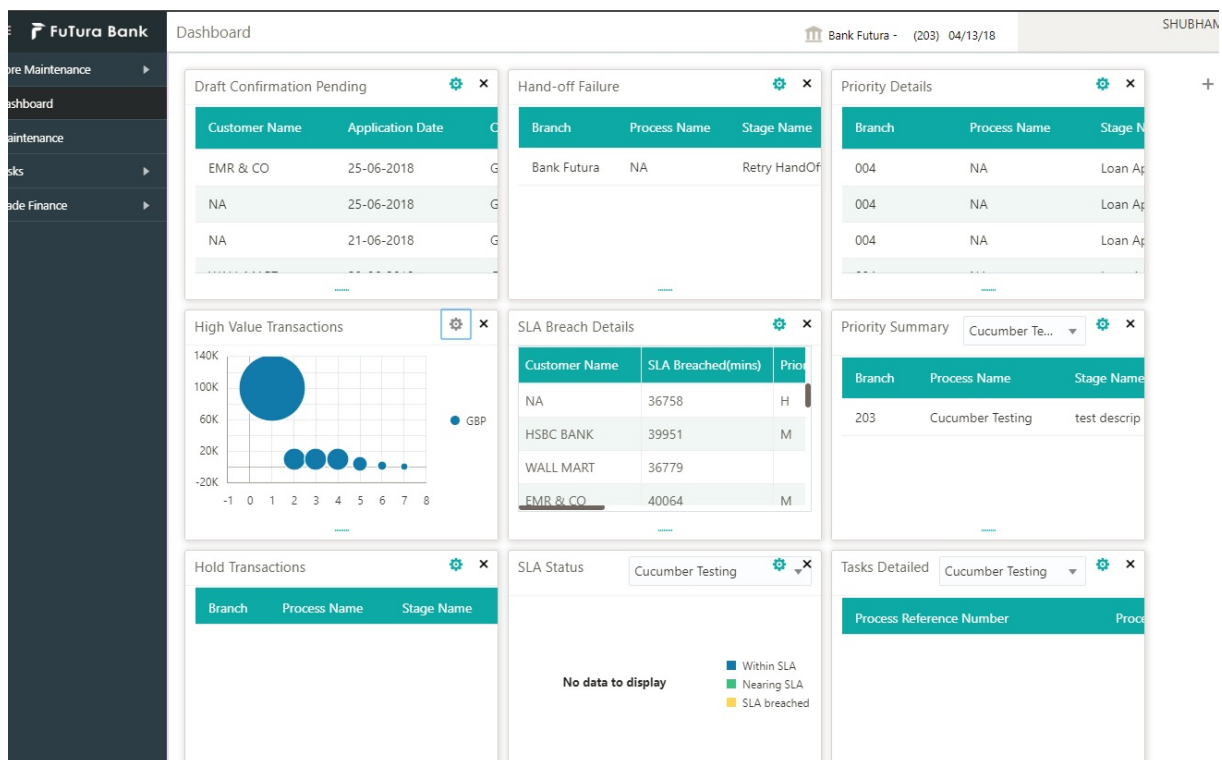
Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



The image shows the 'FuTura Bank' login interface. It features a dark blue header with the bank's logo and name. Below the header, the text 'Sign In' is displayed. There are two input fields: 'User Name \*' with the value 'SRIDHAR' and 'Password \*' with masked characters. A green 'Sign In' button is located at the bottom.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



### 3. Click **Tasks> Free Tasks**.

### 4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

### 5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

The Scrutiny stage has five sections as follows:

- Main Details
- Availability & Shipment
- Payment Details
- Additional Fields
- Additional Details
- Summary

Let's look at the details for Scrutiny stage. User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

### 3.3.1 Main Details

Main details section has two sub section as follows:

- Application Details
- LC Details

#### 3.3.1.1 Application Details

All fields displayed under Application Details section, would be read only except for the **Priority**. Refer to [3.2.1 Application Details](#) for more information of the fields.

Oracle Banking Trade Finance  
May 24, 2021  
ZART  
subham@gmail.com

Application No: PK1ELCA000011551

Main Details

Application Details

MT 720 - Transfer LC

Submission Mode: Desk

Issuing Bank: 000336 BANK CORP

Beneficiary: 000323 Trade Indiv 3

Branch: PK1-Oracle Banking Trade Finan...

Process Reference Number: PK1ELCA000011551

Priority: Medium

Advising Date: May 5, 2021

Auto Close: ☐

LC Details

LC Type: Sight

40A - Form of Documentary Credit: IRREVOCABLE

User Reference Number: PK1ELNR211255501

Date of Expiry:

39A - Percentage Credit Amount Tolerance:

Advising Bank:

Form of Documentary Credit Details:

23 - Reference To Pre-Advice:

31D - Place of Expiry:

Limits/Collateral Required: ☒

Product Code: ELNR

20 - Documentary Credit Number:

31C - Date of Issue: May 5, 2021

51A - Applicant Bank:

39C - Additional Amount Covered:

Product Description: Import LC Usance Non Revolving

Contract Reference Number: PK1ELNR211255501

40E - Applicable Rules: UCP LATEST VERSION

Applicant:

Amount In Local Currency: GBP £48.00

Request Clarification Reject Refer Hold Cancel Save & Close Back New

#### 3.3.1.2 LC Details

The fields listed under this section are same as the fields listed under the [3.2.2 LC Details](#) section in [3.2 Registration](#). Refer to [3.2.2 LC Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

Oracle Banking Trade Finance  
May 24, 2021  
ZART  
subham@gmail.com

Application No: PK1ELCA000011551

Main Details

Application Details

MT 720 - Transfer LC

Submission Mode: Desk

Issuing Bank: 000336 BANK CORP

Beneficiary: 000323 Trade Indiv 3

Branch: PK1-Oracle Banking Trade Finan...

Process Reference Number: PK1ELCA000011551

Priority: Medium

Advising Date: May 5, 2021

Auto Close: ☐

LC Details

LC Type: Sight

40A - Form of Documentary Credit: IRREVOCABLE

User Reference Number: PK1ELNR211255501

Date of Expiry:

39A - Percentage Credit Amount Tolerance:

Advising Bank:

Form of Documentary Credit Details:

23 - Reference To Pre-Advice:

31D - Place of Expiry:

Limits/Collateral Required: ☒

Product Code: ELNR

20 - Documentary Credit Number:

31C - Date of Issue: May 5, 2021

51A - Applicant Bank:

39C - Additional Amount Covered:

Product Description: Import LC Usance Non Revolving

Contract Reference Number: PK1ELNR211255501

40E - Applicable Rules: UCP LATEST VERSION

Applicant:

Amount In Local Currency: GBP £48.00

Request Clarification Reject Refer Hold Cancel Save & Close Back New

### 3.3.1.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Upload the required documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Overrides	Click to view overrides, if any.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Incoming Message	<p>This button displays the multiple messages (MT760 + up to 7 MT761.</p> <p>In case of MT798, the User can click and view the MT798 message(770,700/701).</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	

Field	Description	Sample Values
Save & Close	Save the informations provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny stage inputs and return to dashboard. The data input will not be saved.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

### 3.3.2 Availability Shipment

User must verify/input/update Availability, Shipment and Goods details of an Export LC request for the different fields under the respective data segments.

### 3.3.2.1 Availability Details

ORACLE

ENTITY\_ID1 (ENTITY\_ID1) FLEXCUBE UNIVERSAL BANK Aug 3, 2023 SANDHYA subham@gmail

Port LC Advise  
Application No:- 032ELCA000173959

Clarification Details Documents Remarks Overrides Customer Instruction Incoming Message Signatures

Main Details  
Availability Shipment  
Payment Details  
Additional Fields  
Additional Details  
Summary

Availability Shipment  
Availability Details  
41a-Available with \*  
MQCORP06XXX  
41a-Available By \*  
BY PAYMENT  
42C-Drafts At  
Drawee  
NISLAEADXXX

42 P/M - Payment Details

Shipment Details  
43P-Partial Shipments  
ALLOWED  
43T-Transshipment  
ALLOWED  
44A-Place of Taking in Charge  
Mumbai  
44E-Port of Loading  
44F-Port of Discharge  
44C-Latest Date of Shipment  
44D-Shipment Period  
2

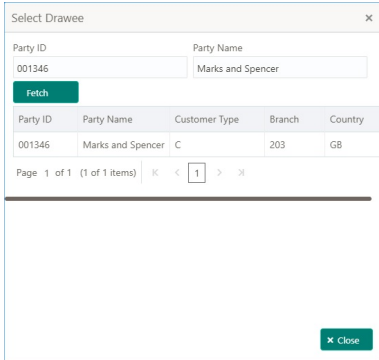
45A Description of Goods and/or Services  
INCO Terms \*  
DDU  
INCO Terms Description  
Delivered Duty Unpaid (named destination)

Goods Code	Goods Type	Goods Description	No of Units	Price per Unit	Total Amount	Action
APPAREL	G	VARIETY OF APPARELS FOR EXPORT	100	AED 10.00	AED 1,000.00	Request Clarification Reject Refer Hold Cancel Save & Close Back Ne

Provide the Availability Details based on the description in the following table:

Field	Description	Sample Values
Available With	<p>This field identifies the bank with which the credit is available.</p> <p>Online Channel - Read only</p> <p>Non Online Channel - User must capture the bank details or any free text.</p> <ul style="list-style-type: none"> <li>If the LC is restricted to any particular bank,, search the bank with SWIFT code (BIC) or Bank Name.</li> </ul> <div> <div>Available With</div> <div> <div>BIC</div> <div>Bank Name</div> </div> <div> <div>Fetch</div> </div> <div> <div>BIC</div> <div>Bank Name</div> </div> <div>No data to display.</div> </div> <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted, if SWIFT code is not available then the bank's name and address to be defaulted.</p> <ul style="list-style-type: none"> <li>If the LC is not restricted to any bank, provide free text - (YOURSELVES, WITH ANY BANK etc.).</li> </ul>	

Field	Description	Sample Values
Available By	<p>Online Channel – Read only</p> <p>Non Online Channel – Choose one of the following values from drop down.</p> <ul style="list-style-type: none"> <li>• BY NEGOTIATION</li> <li>• BY PAYMENT</li> </ul> <p>Validation:</p> <p>1) If <b>By Mixed Payment</b> option is selected, there must be a value in tag 42M- Mixed payment</p> <p>2) If <b>By Deferred Payment</b> is selected, there must be a value in tag 42P- Deferred payment</p> <p>3) if <b>By Payment</b> is selected, payment at sight is applicable. It must be applicable for sight type of product only.</p>	
Drafts At	<p>Online Channel - Read only</p> <p>Non Online Channel - Provide the draft details.</p> <p>This field specifies the tenor of drafts to be drawn under the documentary credit.</p> <ul style="list-style-type: none"> <li>• SIGHT</li> <li>• NN DAYS SIGHT</li> <li>• USANCE (payable in full or parts)</li> </ul> <p>NN DAYS FROM SHIPMENT DATE (e.g. 1. 30 days from BL date</p> <p>2. 10% payable 30 days from BL date, 40% payable 60 days from BL date 50% payable 90 days from BL date)</p> <p>b) NN DAYS FROM INVOICE DATE</p> <p>c) NN DAYS FROM ACCEPTANCE</p> <p>d) NN DAYS FROM DRAFT</p> <p>4. MIXED</p> <p>a) X percentage SIGHT (100-X) percentage USANCE FROM</p> <p>i) NN DAYS FROM SHIPMENT DATE</p> <p>ii) NN DAYS FROM INVOICE DATE</p> <p>iii) NN DAYS FROM ACCEPTANCE</p> <p>iv) NN DAYS FROM DRAFT</p>	

Field	Description	Sample Values
Drawee	<p>Select the Drawee bank (Advising bank or Confirming bank).</p> <ul style="list-style-type: none"> <li>Search the bank with SWIFT code (BIC) or Bank Name.</li> </ul>  <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted, if SWIFT code is not available then the bank's name and address to be defaulted.</p> <p>This field is enabled if BY NEGOTIATION option is selected in the <b>Available By</b> field.</p>	
Payment Details	Provide the payment details if, <b>Available By</b> filed has <b>Mixed Payment</b> or <b>Deferred Payment</b> .	

### 3.3.2.2 Shipment Details

Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
Partial Shipments	<p>This field specifies whether or not partial shipments are allowed under the documentary credit.</p> <p>Online Channel – Read only</p> <p>Non Online Channel - Select the appropriate value from the drop down. Available values are:</p> <ul style="list-style-type: none"> <li>ALLOWED</li> <li>CONDITIONAL</li> <li>NOT ALLOWED</li> </ul>	
Transshipment	<p>This field specifies whether or not transshipment is allowed under the documentary credit.</p> <p>Online Channel - Read only</p> <p>Non Online Channel - Select the appropriate value from the drop down. Available values are:</p> <ul style="list-style-type: none"> <li>ALLOWED</li> <li>CONDITIONAL</li> <li>NOT ALLOWED</li> </ul>	

Field	Description	Sample Values
Place Of Taking In Charge	<p>This field specifies the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document.</p> <p>Online Channel – Read only</p> <p>Non online Channel - Provide the details of place of taking in charge.</p> <hr/> <p><b>Note</b></p> <p>This field is alternate to <b>Port Of Loading</b>. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	
Port Of Loading	<p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p> <p>Online Channel – Read only</p> <p>Non online Channel - Provide the details of Port/ Airport of Loading.</p> <hr/> <p><b>Note</b></p> <p>This field is alternate to <b>Place Of Taking In Charge</b>. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	
Port Of Discharge	<p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p> <p>Online Channel – Read only</p> <p>Non Online Channel - Provide the details of Port/ Airport of Discharge.</p> <hr/> <p><b>Note</b></p> <p>This field is alternate to <b>Place Of Final Destination</b>. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	

Field	Description	Sample Values
Place Of Final Destination	<p>This field specifies the final destination or place of delivery to be indicated on the transport document.</p> <p>Online Channel – Read only</p> <p>Non Online Channel - Provide the details of Place Of Final Destination.</p> <hr/> <p><b>Note</b></p> <p>This field is alternate to <b>Port Of Discharge</b>. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	
Latest Date Of Shipment	<p>Provide the latest date for loading on board/dispatch/taking in charge.</p> <hr/> <p><b>Note</b></p> <p>This field is alternate to <b>Shipment Period</b>. Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message.</p>	
Shipment Period	<p>Online Channel – Read only</p> <p>Non Online Channel - Provide the details of Shipment.</p> <hr/> <p><b>Note</b></p> <p>This field is alternate to <b>Latest Date Of Shipment</b>. Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message.</p>	

### 3.3.2.3 Description Of Goods And Or Services

This field contains a description of the goods and/or services. Provide the goods and services details based on the description in the following table:

Field	Description	Sample Values
INCO Terms	Online Channel - Read only.  Non Online Channel - Select the appropriate INCO terms.	
INCO Term Description	The description of the INCO Term.	
+ Icon	Click + icon to add goods details.	
- Icon	Click - icon to remove goods details.	
Goods Code	Click look up icon to select the goods code. Once you select goods code, value will populate in Goods Type and Goods Description.	
Goods Type	The goods type is auto populated depending on selected goods code.	
Goods Description	The goods description is auto populated depending on selected goods code.	
No of Units	Enter the number of units being imported or exported.	
Price per Unit	Enter the value for price per unit.	
Total Amount	System to calculate the total price  In case of online request, the system should populate the total amount from incoming request.  System should validate that the total amount is equal to the value of the transaction (LC/Collection).	
Action	Click Edit icon to edit the goods detail.  Click Delete icon to delete the goods detail.	

### 3.3.2.4 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Upload the required documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Overrides	Click to view overrides, if any.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Incoming Message	<p>This button displays the multiple messages (MT760 + up to 7 MT761.</p> <p>In case of MT798, the User can click and view the MT798 message(770,700/701).</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	

Field	Description	Sample Values
Save & Close	Save the informations provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the operation and return to dashboard. The data input will not be saved.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of reject, user must select a reject reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> Select a reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

### 3.3.3 Payment Details

**ORACLE** (DEFAULTTENITY) Oracle Banking Trade Finan... Aug 3, 2023 POORNIM, subham@gmail

ort LC Advise  
Jtiny :: Application No:- 091ELCA000162604

Clarification Details Documents Remarks Overrides Customer Instruction Incoming Message Signatures

Main Details  
Availability Shipment  
Payment Details  
Additional Fields  
Additional Details  
Summary

Payment Details Screen ( 3 )

49G-Special Payment conditions for beneficiary

Partial Confirmation Allowed

58A - Requested Confirmation Party

72-Sender to Receiver Information

49H-Special Payment conditions for receiving bank

Confirmation %

53A - Reimbursing Bank

71 D Charges

48-Period for Presentation

Confirmation Amount

57A-Advise Through Bank

49-Confirmation Instructions \*

Requested Confirmation Party Type

78-Instructions to P/A/N Bank

MT730- Acknowledgement Sent

72-Sender to Receiver Information

71 D Charges

792 Narrative

Issuing Bank Date

Issuing Bank Account No

57a - Account with Bank

Charges to be Claimed

MT710 - Information to Advise Through Bank

72-Sender to Receiver Information

78D - Instructions to Intermediary Bank

Request Clarification Reject Refer Hold Cancel Save & Close Back No

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Special Payment conditions for beneficiary	Online and Non Online Channels – If any special payment condition has to be provided to beneficiary, the details for the same must be captured in this field.	
Special Payment conditions for receiving bank	Online and Non-online channels –If any special payment condition has to be provided to receiving bank, the details for the same must be captured in this field. This field specifies special payment conditions applicable to the receiving bank without disclosure to the beneficiary, for example, post-financing request/conditions for receiving bank only.	
Period for Presentation	Online Channel – Read-only. Non Online channel – If the period of presentation is based on any event other than shipment, then you can capture the event name in text along with the number of days in number.	

Field	Description	Sample Values
Confirmation Instructions	<p>Online Channel – Read only.</p> <p>Non Online Channel - Select the confirmation instruction for the LC from the available LOV values – CONFIRM, MAY ADD, WITHOUT.</p> <p>Applicable only if field 49 - confirmation instruction is 'confirm' or 'may add'. You can search through LOV, Party type with banks should only be displayed in LOV. The system should display the</p> <p>a) SWIFT code (if available),</p> <p>b) Name and address of the bank</p> <p>On selection of the record if SWIFT code is available then SWIFT code will be defaulted, if SWIFT code is not available then the bank's name and address to be defaulted.</p>	
Partial Confirmation Allowed	<p>Toggle On: Set the toggle 'On' to enable partial confirmation.</p> <p>Toggle Off: Set the toggle 'Off' to disable partial confirmation.</p> <p>If <b>Confirmation%</b> field value is less than 100%, system marks Partial confirmation to Yes. If <b>Confirmation%</b> is equal to 100%, Partial confirmation to be marked as No.</p>	
Confirmation%	<p>Provide the confirmation percentage.</p> <hr/> <p><b>Note</b></p> <p>This field is alternate to '<b>Confirmation Amount</b>'.</p> <hr/>	
Confirmation Amount	<p>Provide the confirmation amount.</p> <p>This field is auto-populated if <b>Confirmation Instructions</b> is set to <b>Confirm</b> or <b>May Add</b> and <b>Requested Confirmation Party has value as Advising Bank</b>. The user can change the value.</p> <hr/> <p><b>Note</b></p> <p>This field is applicable only if <b>Confirmation Instructions</b> is set to <b>Confirm</b> or <b>May Add</b> and <b>Partial Confirmation Toggle</b> is 'On'.</p> <hr/>	

Field	Description	Sample Values
Requested Confirmation Party	<p>Select the requested confirmation party from the drop-down.</p> <p>The options are:</p> <ul style="list-style-type: none"> <li>• Advising Bank -</li> <li>• Advise Through Bank</li> <li>• Other</li> </ul> <p>This field is enabled if the <b>Confirmation Instructions</b> is <b>CONFIRM</b> or <b>MAY ADD</b>.</p>	
Requested Confirmation Party	<p>Select the requested confirmation party from LOV.</p> <p>Online and Non-Online Channels – Provide requested confirmation party details.</p> <p>This field is enabled if the <b>Confirmation Instructions</b> is <b>CONFIRM</b> or <b>MAY ADD</b> and <b>To be confirmed by Advising Bank</b> toggle is disabled.</p> <hr/> <p style="text-align: center;"><b>Note</b></p> <p style="text-align: center;">This field is applicable only for LC Type - Confirmed LC.</p>	
Reimbursing Bank	<p>If reimbursing bank is applicable user must update the field.</p> <p>Online Channel - Update the details received.</p> <p>Non online channel - Search through LOV. Party type with banks will be displayed in LOV.</p> <ul style="list-style-type: none"> <li>• SWIFT code (if available),</li> <li>• Name and address of the bank</li> </ul> <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p>	

Field	Description	Sample Values
Advise Through Bank	<p>Online Channel – User can update the details received.</p> <p>Non Online Channel -</p> <p>Search through LOV. Party type with banks must be displayed in LOV.</p> <ul style="list-style-type: none"> <li>• SWIFT code (if available)</li> <li>• Name and address of the bank</li> </ul> <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p> <hr/> <p style="text-align: center;"><b>Note</b></p> <p style="text-align: center;">In case the selected Bank is not RMA Compliant, the system displays error message "RMA arrangement not available".</p>	
Instructions to P/A/N Bank	<p>Online Channel- User can update details received.</p> <p>Non online channel – Provide the details in this field.</p>	
Sender to Receiver Information	<p>Online Channel – User can update details received.</p> <p>Non Online Channel – Provide details (FFT).</p>	
Charges	<p>Online Channel – User can update details received.</p> <p>Non Online Channel – Provide details (FFT).</p>	

## MT730 - Acknowledgement Sent

Provide MT730 - Information to Issuing Bank details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver Information	Select a FFT to provide the additional information to receiver.	
Narrative	Select a FFT to provide the additional information from the advising bank to the issuing bank.	
Issuing Bank Account No	Select the issuing bank account number from the LOV.	
Charges to be Claimed	Select the FFT from the LOV for the charges to be claimed.	

Field	Description	Sample Values
Charges	Provide the charge details for advising.	
Issuing Bank Date	Select the issuing bank date.	
Account with Bank	Select the account to which the charges needs to be paid.	

### MT710 - Information to Advise Through Bank

Provide MT710 - Information to Advise Through Bank details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver Information	Select a FFT to provide the additional information to receiver.	
Instruction to Intermediary Bank	Select the instruction to intermediary bank value from the look-up.	

#### 3.3.3.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Upload the required documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Overrides	Click to view overrides, if any.	

Field	Description	Sample Values
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Incoming Message	<p>This button displays the multiple messages (MT760 + up to 7 MT761).</p> <p>In case of MT798, the User can click and view the MT798 message(770,700/701).</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Save & Close	<p>Save the informations provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	<p>Cancel Scrutiny stage inputs and return to dash-board.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

### 3.3.4 Additional Fields

Banks can configure these additional fields during implementation.

Export LC Advise - Scrutiny :: Application No: 190ELCA000058078

Additional Fields

Additional Fields

No Additional fields configured!

Audit Reject Refer Hold Cancel Save & Close Back

### 3.3.5 Additional Details

Export LC Advise - Scrutiny :: Application No: PK2ELCA000062468

Additional Details

Revolving Details Limits and Collaterals Commission, Charges and... FX Linkage

Revolving : No  
Revolving In :  
Revolving Frequency :

Limit Currency :  
Limit Contribution :  
Limit Status :  
Collateral Currency :  
Collateral :  
Contribution :  
Collateral Status :

Charge : GBP 350  
Commission :  
Tax :  
Block Status : Not Initiated

FX Reference Number :  
Contract Currency :  
Contract Amount :

Audit Reject Refer Hold Cancel Save & Close Back Next

#### 3.3.5.1 Limits & Collateral

##### Note

The fields in this section is applicable only if LC type is Confirmed LC.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTfPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Provide the Limit Details based on the description in the following table:

Limit Details

+

Customer ID	Linkage Type	Liability Number	Line Id/Linkage Ref No	Line Serial	Contribution %	Contribution Currency	Amount to Earmark	Limit Check Response	Response Message	Ed
20007	Facility				100	AED	1000			22

ash Collateral Details

Collateral Percentage \*

Collateral Currency and amount

AED

Exchange Rate

1.0

+

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response
1	KWD	0323000015		34	NaN		VN

Deposit Linkage Details

+

Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
-----------------	------------------	-----------------------	----------------------	---	--------------------------------------	------	--------

Save & Close

Close

Limit Details

×

Customer Id

001044

Q

Linkage Type \*

Facility

▼

Contribution % \*

1.0

▼

▲

Liability Number \*

PK2LIAB01

Q

Contribution Currency

GBP

Line Id/Linkage Ref No \*

PK2L01SL1

Q

Limit/Liability Currency

GBP

Limits Description

Limit Check Response

Available

Contribution Amount \*

£220.00

Expiry Date

📅

Limit Available Amount

£999,999,903.89

Response Message

The Earmark can be performed as the f

ELCM Reference Number

Verify

Save & Close

Close

Field	Description	Sample Values
<div>Plus Icon</div> <div>+</div>	Click plus icon to add new Limit Details.	

3-38

ORACLE®

Field	Description	Sample Values
<p>Limit Details</p> <p>Click + plus icon to add new limit details.</p> <p>Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.</p>		
Customer ID	<p>Applicant's/Applicant Bank customer ID will get defaulted.</p> <p>User can change the customer ID.</p>	
Linkage Type	<p>Select the linkage type.</p> <p>Linkage type can be:</p> <ul style="list-style-type: none"> <li>• Facility</li> <li>• Liability</li> </ul> <p>By default Linkage Type should be "Facility".</p>	
Contribution%	<p>System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified.</p> <p>Once contribution % is provided, system will default the amount.</p> <p>System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.</p>	
Liability Number	<p>Click <b>Search</b> to search and select the Liability Number from the look-up.</p> <p>The list has all the Liabilities mapped to the customer.</p>	
Contribution Currency	The LC currency will be defaulted in this field.	
Line ID/Linkage Ref No	<p>Click <b>Search</b> to search and select the from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.</p> <hr/> <p><b>Note</b></p> <p>User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field.</p> <hr/> <p>This field is disabled and read only, if <b>Linkage Type</b> is <b>Liability</b>.</p>	

Field	Description	Sample Values
Limit/ Liability Currency	Limit Currency will be defaulted in this field, when you select the <b>Liability Number</b>	
Limits Description	This field displays the limits description.	
Limit Check Response	Response can be 'Success' or 'Limit not Available' based on the limit service call response.	
Amount to Earmark	Amount to Earmark will be default based on the contribution %. User can change the value.	
Expiry Date	This field displays the date up to which the Line is valid	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. The value in this field appears, if you click the Verify button.	
Response Message	Detailed Response message. The value in this field appears, if you click the Verify button.	
ELCM Reference Number	This field displays the ELCM reference number.	
Below fields appear in the Limit Details grid along with the above fields.		
Line Serial	Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid.	
Edit	Click the link to edit the Limit Details	
Delete icon	Click delete icon to delete the existing limit details.	

### Collateral Details

Provide the collateral details based on the description provided in the following table:

Collateral Details

Total Collateral Amount \*

AED 34.00

Collateral Amount to be Released

Sequence Number

1.0

Collateral Contribution Amount \*

AED 15.30

Settlement Account Currency

AED

Contribution Amount in Account Currency

AED 15.30

Response

VS

Verify

Collateral Amount to be Collected \*

AED 34.00

New Collateral Amount

Collateral Split % \*

45.0

Settlement Account \*

0322040001

Exchange Rate

1.0

Account Available Amount

AED 8,687,414,521.64

Response Message


The amount block can be performed as the account has sufficient balance

✓ Save & Close

✕ Cancel

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	
Click + plus icon to add new collateral details.		
Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.		
Customer Id	Customer ID is defaulted from the system. User can change the customer ID.	

Field	Description	Sample Values
Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field.	
Settlement Account	Select the settlement account for then collateral.	
Settlement Account Currency	Select the Settlement Account Currency.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection. System populates the response on clicking the <b>Verify</b> button.	
Response	Response can be 'Success' or 'Amount not Available'. System populates the response on clicking the <b>Verify</b> button.	
Response Message	Detailed Response message. System populates the response on clicking the <b>Verify</b> button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Field	Description	Sample Values
Below fields appear in the <b>Cash Collateral Details</b> grid along with the above fields.		
Collateral%	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message	
Contribution Amount	<p>This field displays the collateral contribution amount.</p> <p>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.</p>	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

### Deposit Linkage Details

In this section which the deposit linkage details is captured.

System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/ modification of existing Linkage by calling Back-office system (DDA) system directly.

Deposit Linkage Details

Customer Id

091215

Deposit Branch

PK2

Deposit Available Amount

AED

AED 87,508.00

Exchange Rate

Linkage Percentage % \*

45.00

Deposit Account

PK2CDP1221100002

Deposit Maturity Date

Deposit Available In Transaction Currency

Linkage Amount(Transaction Currency) \*


AED

AED 450.00

Save & Close

Close

Field	Description	Sample Values
Click + plus icon to add new deposit details.		
Deposit Account	Click <b>Search</b> to search and select the deposit account from the look-up. All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage.	
Deposit Branch	Branch will be auto populated based on the Deposit account selection.	
Deposit Available Amount	Amount will be auto-populated based on the Deposit Account selection.	
Deposit Maturity Date	Maturity Date of deposit is displayed based on the Deposit Account selection.	
Exchange Rate	Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core.	
Deposit Available in Transaction Currency	Deposit amount available should be displayed after exchange rate conversion, if applicable.	
Linkage Percentage%	Specify the value for linkage percentage.	
Linkage Amount (Transaction Currency):	<p>System to default the transaction amount user can change the value.</p> <p>System validates the linking amount with available Deposit balance and should not allow to link more than the available amount.</p>	
Below fields appear in the <b>Deposit Details</b> grid along with the above fields.		
Deposit Currency	The currency will get defaulted in this field.	

Field	Description	Sample Values
Transaction Currency	The currency will get defaulted in this field from the underlying task.	
Delete Icon 	Click minus icon to remove the existing Linked deposit details by selecting the Deposit.	
Edit Link	Click edit link to edit any existing deposit Details.	

### 3.3.5.2 Commission, Charges and Taxes Details

After payment, click on **Default Charges** button to the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

The system also default the Charges/Commission Party maintained for the customer as per defined Class Maintenance in OBTF. System simulates the Charges, Commission and Tax details from the Back office.

Commission, Charges and taxes

Recalculate
Redefault

Commission Details

ent

ent Description

Component	Rate	Mod. Rate	Ccy	Amount	Modified	Defer	Waive	Split	Charge Party	Settl. Acct	Amend
-----------	------	-----------	-----	--------	----------	-------	-------	-------	--------------	-------------	-------

No data to display.

Page 1 of 0 (0 items)
1

Charge Details

Component	Tag currency	Tag Amount	Ccy	Amount	Modified	Billing	Defer	Waive	Split	Charge Party	Settl. Acct
-----------	--------------	------------	-----	--------	----------	---------	-------	-------	-------	--------------	-------------

LCCOURAMND	AED	0	AED	AED 50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Air Arabia	0322040001
------------	-----	---	-----	-----------	--	--------------------------	--------------------------	--------------------------	-------------------------------------	------------	------------

Page 1 of 1 (1 of 1 items)
1

Tax Details

Component	Type	Value Date	Ccy	Amount	Billing	Defer	Settl. Acct
-----------	------	------------	-----	--------	---------	-------	-------------

No data to display.

Split Settlement

Select	Component	Currency	Amount
--------	-----------	----------	--------

No data to display.

Page 1 of 0 (0 items)
1

Split Settlement Details

Sequence	Component	Amount	Percentage	Branch	Account Currency	Account	Exchange Rate	Original Exchange Rate	Party Type	Customer	AR-AP Tracking	Loan/Finance #
----------	-----------	--------	------------	--------	------------------	---------	---------------	------------------------	------------	----------	----------------	----------------

No data to display.

Save & Close
Cancel

### 3.3.5.3 Commission Details

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	

Field	Description	Sample Values
Waive	<p>Select the check box to waive charges/commission.</p> <p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>	
Split	The user can split the Commission by enabling/disabling the flag as per the requirement.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	
Amend	Displays if the field is amendable or not.	

#### 3.3.5.4 Charges Details

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	

Field	Description	Sample Values
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Split	The bank User can split the Charges/Commission by enabling/disabling the flag as per the requirement.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

### 3.3.5.5 Tax Details

The tax component is calculated based on the commission. The tax component defaults if maintained in the product level. Tax detail cannot be updated by you and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Provide the Tax Details based on the information in the following table:

Field	Description	Sample Values
Component	Tax Component type	

Field	Description	Sample Values
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.  This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled.  The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

#### Split Settlement

Once the user clicks on the Recalculate button to fetch the Split Settlement details from Backoffice, new section "Split Settlement" will appear below the 'Tax' section. The default parties in Split row should be fetched from OBTF.

Field	Description	Sample Values
Component	The split component type eligible for Split .	
Currency	The currency of split settlement.	
Amount	The amount of split settlement.	

### Split Settlement Details

Split Settlement details section appears from Back office, when the user clicks on the Recalculate button.

Split Settlement Details

Component

CHGTRAMND\_LIQD\_S01

Customer

001044

Account

PK20010440017

Branch

PK2

Exchange Rate

1

Party Type

BEN

AR-AP Tracking

Negotiation Rate

Amount

50

Account Currency

GBP

Percentage

50.00

Original Exchange Rate

1

Negotiation Reference

Loan/Finance Account

N

Fetch Exchange Rate

Save & Close

Close

### 3.3.5.6

Field	Description	Sample Values
Sequence	The sequence number is auto populated with the value, generated by the system.	
Component	The split component type eligible for Split.	
Amount	The system splits the respective Charge/Commission amount automatically between counter party and third party with 50% value by default. The bank user can modify the amount. More than two splits are not allowed.	
Customer	Indicates the ID of the Customer in Split Settlement Details section.	
Account	The system defaults the settlement account. User can modify the settlement account. System initiates a call to common core tables within OBT-FPM to select the account	
Account Currency	Defaults the currency of the account.	
Branch	Indicates the branch of the customer where transaction is getting processed.	
Percentage	The system splits the respective Charge/Commission percentage automatically between counter party and third party with 50% value by default. More than two splits are not allowed. The bank user can modify the amount. The system should validate that the total percentage of each component doesn't exceed 100 and the total amount of each component doesn't exceed total component amount.	
Exchange Rate	System populates the exchange rate maintained.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in split settlement details section.	
Party Type	System displays the party type in split settlement details section.	
Negotiation Reference	Specify the negotiation reference number.	
AR-AP Tracking	Indicates to defer the charge/ commission in Split Settlement Details section. The user can modify the AR-AP Tracking flag as per the requirements.	
Loan/Finance Account	Displays the loan account.	

Field	Description	Sample Values
Negotiation Rate	Specify the negotiation rate.	

### 3.3.5.7 FX Linkage

This section enables the user to link the existing FX contract(s) to the LC transactions. User can link multiple forward FX contracts.

- FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the bill .

Provide the FX linkage detail based on the description in the following table:

Field	Description	Sample Values
-------	-------------	---------------

Click + plus icon to add new FX linkage details.

Below fields are displayed on the FX linkage pop-up screen, if the user clicks plus icon.

Field	Description	Sample Values
FX Reference Number	<p>Select the FX contract reference number from the LOV.</p> <p>On select and save and close, system defaults the available amount, bot currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at bill would be as follows,</p> <ul style="list-style-type: none"> <li>Counterparty of the FX contract should be the counterparty of the Bill contract.</li> <li>Active Forward FX transactions authorized not marked for auto liquidation.</li> </ul> <p>Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.</p>	
Currency	This field displays the FX BOT currency from the linked FX contract.	
Contract Amount	<p>This field displays the FX BOT currency and Amount.</p> <p>The user can change the currency.</p>	
Available FX Contract Amount	<p>This field displays the available FX contract amount.</p> <p>The value is from the "Available Amount" in FXDLINKG screen in OBTR.</p> <p>Available Amount BOT currency and Amount is displayed.</p>	
Linkage Amount	<p>This field displays the amount available for linkage.</p> <p>The Linkage amount should default the LC Contract Currency and allowed to change the linkage amount alone.</p> <p>The validation "Sum of Linked amount will not be greater than contract amount" or "Linkage amount will not be greater than the available amount for linkage" should be triggered on save of the FX linkage screen when trying to link the single FX or multiple FX.</p>	
Rate	This field displays the exchange rate defaulted from the linked FX Contract.	
FX Amount in Local Currency	<p>This field displays the FX amount in local currency.</p> <p>The value is defaulted as FX BOT currency and Amount from FXDTRONL</p>	
FX Expiry Date	This field displays the expiry date from the linked FX contract.	

Field	Description	Sample Values
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	
Below fields appear in the FX linkage grid along with the above fields.		
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	
Available Contract Amount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Linked Amount	Sum of Linked amount will not be greater than LC contract amount.  Linked amount will not be greater than the available amount for linkage.	
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.  The value is Total Utilized Amount BOT currency and Amount for Import LC/Guarantee Issuance from FXDLINKG	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	
Action	Click the Edit icon to modify the FX details.  Click the Delete icon to delete the FX details.	

### 3.3.5.8 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Upload the required documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Provide any additional information regarding the LC. This information can be viewed by other users processing the request.</p>	
Overrides	<p>Click to view overrides, if any.</p>	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"><li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li><li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li></ul>	
Common Group Message	<p>Click Common Group Message button, to send MT799 and MT999 messages from within the task.</p>	
Incoming Message	<p>This button displays the multiple messages (MT760 + up to 7 MT761).</p> <p>In case of MT798, the User can click and view the MT798 message(770,700/701).</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	

Field	Description	Sample Values
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	Cancel the Scrutiny stage inputs and return to dashboard.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

### 3.3.6 Summary

User can review the summary of details updated in Scrutiny Export LC Advice request.

Log in to Oracle Banking Trade Finance Process Management (OBTfPM) system to see the summary tiles. The tiles must display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

The screenshot shows the Oracle Banking Trade Finance Process Management (OBTfPM) system interface. The top navigation bar includes the Oracle logo and user information (ENTITY\_ID1, ENTITY\_ID2, Oracle Banking Trade Finance, Aug 3, 2023, SANDH, subham@gmail). The main menu on the left lists various sections: Main Details, Availability Shipment, Payment Details, Additional Fields, Summary, and a sub-menu for Main Details. The central area displays the Summary screen, which is divided into several sections:

- Main Details:** Form of LC : IRREVOCABLE, Submission Mode : Desk, Date of Issue : 2023-08-03.
- Availability Shipment:** Available With : MQCORP10XXX, Available By : NEGOTIATION, Port of Loading : , Port of Discharge : .
- Payment Details:** Period of Present. : , Confirmation Instr. : WITHOUT.
- Additional Fields:** Click here to view : Additional fields.
- Revolving Details:** Revolving : NO, Revolving In : , Revolving Frequency : .
- Limits and Collaterals:** Contribution Currency : , Amount to Earmark : null, Limit Status : Not Verified, Collateral Currency : , Collateral Contr. : , Collateral Status : Not Verified, Deposit Linkage CCY : , Deposit Linkage Amount : .
- Commission, Charges and taxes:** Charge : , Commission : , Tax : , Block Status : Not Initiated.
- Parties Details:** Advising Bank : HDFC Bank LL..., Issuing Bank : CITI BANK NA..., Beneficiary : Air Arabia, Applicant : Washlux Elec...
- FX Linkage:** Reference Number : , Linkage Amount : , Contract Currency : .

The bottom of the screen features a navigation bar with buttons: Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, Next, and Submit.

#### Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payment Details - User can view and modify all details related to payments, if required.
- Additional Fields - User can view the details of additional fields.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Commission, Charges and taxes - User can view and modify commission, charges and taxes details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- FX Linkage - User can view the FX linkage details.

### 3.3.6.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Upload the required documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Provide any additional information regarding the LC. This information can be viewed by other users processing the request.</p>	
Overrides	<p>Click to view overrides, if any.</p>	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"><li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li><li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li></ul>	
Common Group Message	<p>Click Common Group Message button, to send MT799 and MT999 messages from within the task.</p>	
Incoming Message	<p>This button displays the multiple messages (MT760 + up to 7 MT761).</p> <p>In case of MT798, the User can click and view the MT798 message(770,700/701).</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	

Field	Description	Sample Values
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Submit	<p>Task will get moved to next logical stage of Export LC Advice.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the informations provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	<p>Cancel Scrutiny stage inputs and return to dashboard.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	

### 3.4 Data Enrichment

---

#### Note

As part of Data Enrichment, you can enter/update basic details of the incoming request.

---

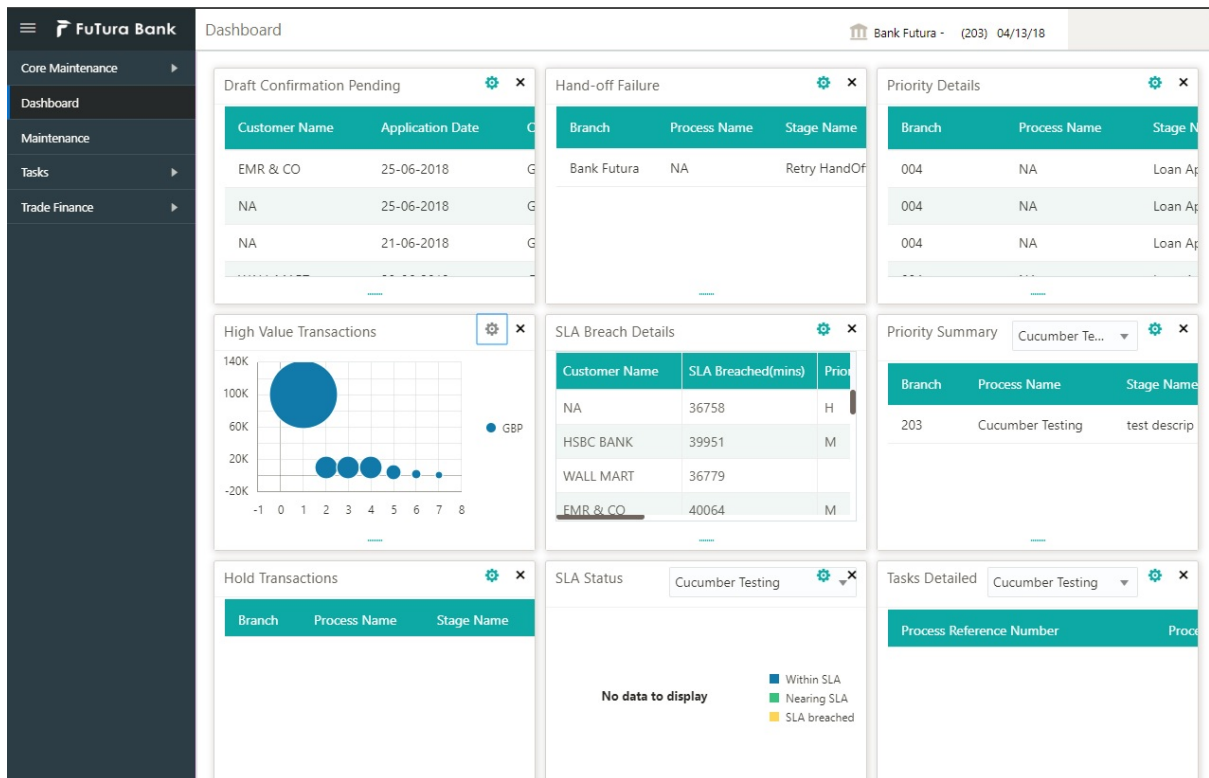
#### Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task which completed the Registration and Scrutiny and currently at Data Enrichment stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.

- On login, user must be able to view the dashboard screen with widgets as mapped to the user.



- Click **Trade Finance> Tasks> Free Tasks**.

The Free Tasks page displays a table of tasks. The left sidebar shows navigation options: Core Maintenance, Dashboard, Maintenance, Security Management, Tasks, Free Tasks, Hold Tasks, My Tasks, Search, Supervisor Tasks, and Trade Finance. The main area shows the Free Tasks table with the following columns: Action, Priority, Application Number, Branch, Customer Number, Amount, Process Name, Stage, and Back Office.

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office
Acquire & Edit	M	GS1ELCA000006268	GS1	000263	£25,000.00	Export LC Advising	Data Enrichment	GS1ELAC19C
Acquire & Edit	H	GS1ELCA000006272	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19C
Acquire & Edit	H	GS1ELCA000006271	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19C
Acquire & Edit	H	GS1ELCA000006270	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19C
Acquire & Edit	M	GS1ELCD000005754	GS1	000263	£1.00	Export LC Drawing	Reject Approval	GS1ESUC19C
Acquire & Edit	H	GS1ELCA000006261	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19C

Page 1 of 1 (1-10 of 10 items) | Previous | 1 - 10 of 2822 records | Next

- Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

The Free Tasks page is shown with the 'Acquire & Edit' button highlighted for the first task. The left sidebar shows navigation options: Core Maintenance, Dashboard, Maintenance, Security Management, Tasks, Free Tasks, Hold Tasks, My Tasks, Search, Supervisor Tasks, and Trade Finance. The main area shows the Free Tasks table with the following columns: Action, Priority, Application Number, Branch, Customer Number, Amount, Process Name, Stage, and Back Office.

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office
Acquire & Edit	M	GS1ELCA000006268	GS1	000263	£25,000.00	Export LC Advising	Data Enrichment	GS1ELAC19C
Acquire & Edit	H	GS1ELCA000006272	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19C
Acquire & Edit	H	GS1ELCA000006271	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19C
Acquire & Edit	H	GS1ELCA000006270	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19C
Acquire & Edit	M	GS1ELCD000005754	GS1	000263	£1.00	Export LC Drawing	Reject Approval	GS1ESUC19C
Acquire & Edit	H	GS1ELCA000006261	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19C

Page 1 of 1 (1-10 of 10 items) | Previous | 1 - 10 of 2822 records | Next

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office
<input type="checkbox"/> Edit	M	GS1ELCA000006268	GS1	000263	£25,000.00	Export LC Advising	Data Enrichment	GS1ELAC19C
<input type="checkbox"/> Edit	M	GS1ELCA000006267	GS1	000263	£22,000.00	Export LC Advising	Registration	NA
<input type="checkbox"/> Edit	M	GS1ILCU000006250	GS1	000262	£10,000.00	Import LC Update Drawings	Scrutiny	NA

Page 1 of 1 (1-3 of 3 items) | Previous 1 - 3 of 3 records Next

The Data Enrichment stage has following hops for data capture:

- Main Details
- Availability & Shipment
- Documents Details
- Payment Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. You should be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

### 3.4.1 Main Details

Refer to [3.3.1 Main Details](#).

### 3.4.2 Availability & Shipment

Refer to [3.3.2 Availability Shipment](#).

### 3.4.3 Document Details

User must provide the required documents and additional conditions (if applicable) in this section.

#### 3.4.3.1 Documents Details

Online Channel - System will default the details received in the Description column. Based on the details populated, user can pick corresponding values for Document code, originals and copy.

Non Online Channel - User can further edit (add or remove) the documents or document description as per requirement. Application will display an alert message, if both 'Bill Of lading' and 'Airway Bill' are chosen.

Based on the 'Product' selected, Application will default the documents required under the LC. User can edit the details, delete an existing document and also add additional documents to the defaulted list.

Capture the information based on the description in the following table:

Field	Description	Sample Values
Click '+' icon to add the multiple document code.		
Document Code	Select the document code from the LOV based on the document received. User can add or delete the code by deleting the line on the grid.	
Document Description	System will populate the document description based on the document code. User can edit the description, by clicking the edit icon.	
Copy	Provide the number copies received from the Drawer. User can edit the actual copies received.	

Field	Description	Sample Values
Original	Provide the number of original documents received from the Drawer. User can edit the actual originals received.	
Clause Details	Displays the description of the clause. User can view the clause details by clicking the link.	
Original Doc. Required	System defaults the value to display whether the original document is required or not. The user can enable the option, if document is required.	
Action	Click Edit icon to edit the document details. Click Delete icon to delete the document details.	

### 3.4.3.2 **Additional Conditions**

Online Channel - System will default the details received in the description column. System will parse the additional conditions required field into multiple line items based on line delimiter (+) and shall populate each line item as a separate description. User can read the description and make any changes required to the description, also must be able to add more conditions.

Non Online Channel - User can use FFT to capture additional conditions and can edit the description populated from FFT. You should also be able to add additional FFT.

Field	Description	Sample Values
Click '+' icon to add the multiple FFT code.		
FFT Code	Select the FFT code from the lookup.	
FFT Description	System will populate the FFT description based on the FFT code. User can edit the description.	
Action	Click Edit icon to edit the FFT details Click Delete icon to delete the FFT details.	

### 3.4.3.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Upload the required documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Provide any additional information regarding the LC. This information can be viewed by other users processing the request.</p>	
Overrides	<p>Click to view overrides, if any.</p>	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"><li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li><li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li></ul>	
Common Group Message	<p>Click Common Group Message button, to send MT799 and MT999 messages from within the task.</p>	
Incoming Message	<p>This button displays the multiple messages (MT760 + up to 7 MT761).</p> <p>In case of MT798, the User can click and view the MT798 message(770,700/701).</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	

Field	Description	Sample Values
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	Cancel the Scrutiny stage inputs and return to dashboard.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

### 3.4.4 Payment Details

Refer to [3.3.3 Payment Details](#).

### 3.4.5 Additional Fields

Refer to [3.3.4 Additional Fields](#).

### 3.4.6 Advices

Advices menu displays the advices from the back office as tiles. User can edit the fields in the tile, if required.

The screenshot displays the Oracle LC Advise interface. The top navigation bar includes the Oracle logo, user information (ENTITY\_ID1, ENTITY\_L...), and the date (Aug 3, 2023). The main header shows 'Export LC Advise' and 'DataEnrichment :: Application No:- 032ELCA000173506'. The left sidebar contains a menu with options: Main Details, Availability Shipment, Document Details, Payment Details, Additional Fields, Advices (selected), Additional Details, Settlement Details, and Summary. The main content area is titled 'Advices' and displays a grid of advice tiles. Each tile shows the advice name, party name, and suppression status. The tiles are: LC\_ACK\_ADVICE, LC\_CASH\_COL\_ADV, ISB\_BEN\_CL, ADV\_THIRD\_BANK, and PAYMENT\_MESSAGE. The bottom of the interface features a row of buttons: Audit, Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, and Back.

Advice Name	Advice Party	Party Name	Suppress
LC_ACK_ADVICE	ABK	Dubai Islami...	NO
LC_CASH_COL_ADV	ABK	Dubai Islami...	YES
ISB_BEN_CL	BEN	Air Arabia	NO
ADV_THIRD_BANK			YES
PAYMENT_MESSAGE			NO

The user can also suppress the Advice, if required.

Advice Details

Advice Details

Suppress Advice

Party ID

032204

Advice Name

TRADE\_ENVELOPE

Medium

MAIL

Advice Party

BEN

Party Name

Air Arabia

FFT Code

FFT Code	FFT Description		Action
12FREPCOURSE			<div></div> <div></div>




Instructions

Instruction Code	Instruction Description	Edit	Action
E202	. IN REIMBURSEMENT PLEASE TELE-REMIT THE FUNDS TO		<div></div> <div></div>

OK

Cancel

Field	Description	Sample Values
Suppress Advice	<b>Toggle on:</b> Switch on the toggle if advice is suppressed. <b>Toggle off:</b> Switch off the toggle if suppress advice is not required for the amendments	
Advice Name	Read only field. This field displays the advice name defaulted from drawing LC.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Read only field. Value be defaulted from drawing LC.	
Party ID	Read only field. Value be defaulted from drawing LC.	
Party Name	Read only field. Value be defaulted from drawing LC .	
Free Format Text		
<div></div>	Click plus icon to add new FFT code.	
FFT Code	User can select the FFT code as a part of free text.	

Field	Description	Sample Values
FFT Description	FFT description is populated based on the FFT code selected.	
	Click edit icon to edit any existing FFT code.	
Action	Click Edit icon to edit the FFT details. Click Delete icon to delete the FFT details.	
Instruction Details		
	Click plus icon to add new instruction code.	
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the Instruction code selected.	
	Click edit icon to edit any existing Instruction code.	
Action	Click Edit icon to edit the instruction details. Click Delete icon to delete the instruction details.	

#### 3.4.6.1 **Action Buttons**

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.  The user can view and input/view application details simultaneously.  When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Overrides	Click to view overrides, if any.	

Field	Description	Sample Values
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	<p>This button displays the multiple messages (MT760 + up to 7 MT761).</p> <p>In case of MT798, the User can click and view the MT798 message(770,700/701).</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	Cancel the Scrutiny stage inputs and return to dashboard.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

### 3.4.7 Additional Details

Port LC Advise - DataEnrichment :: Application No: PK2ELCA000062468

Main Details

Availability Shipment

Document Details

Payment Details

Additional Fields

Advices

**Additional Details**

Settlement Details

Summary

#### Additional Details

##### Revolving Details

Revolving : **No**

Revolving In :

Revolving Frequency :

##### Limits and Collaterals

Limit Currency :

Limit Contribution :

Limit Status :

Collateral Currency : **USD**

Collateral Contribution : **120**

Collateral Status : **Not Verified**

##### Commission,Charges and...

Charge : **GBP 350**

Commission :

Tax :

Block Status : **Not Initiated**

##### Tracer Details

Confirmation Tracer : **No**

Charges Tracer : **No**

Acknowledgement : **No**

Tracer :

##### Preview Messages

Language :

Preview Advice : -

##### FX Linkage

FX Reference Number : **PK2FXF1200760505**

Contract Currency : **USD**

Contract Amount :

Audit

Reject

Refer

Hold

Cancel

Save & Close

Back

Next

Screen (7)

#### 3.4.7.1 Revolving Details

#### Revolving

Revolving

No

Revolving In

Cummulative

Revolving Frequency

Automatic Reinstatement

Revolve Units

Next Reinstatement Date

mm/dd/yy

✓ Save & Close

✗ Cancel

Provide the Revolving Details based on the description in the following table:

Field	Description	Sample Values
Revolving	Select if the LC is revolving or not using the drop down.	
Revolving In	Select the mode of revolving in this field.The LC can revolve with Time or Units.	
Revolving Frequency	In case the LC revolves with time, then this field should be updated. This field captures the frequency in days and months by which the LC revolves.	
Revolving Units	You can capture the units by which the LC revolves.	

Field	Description	Sample Values
Next Reinstatement Date	This field defaults the date of next reinstatement for the LC based on the revolving frequency selected.	
Cumulative	This field is a toggle to indicate if the LC value has to be cumulative or not on reinstatement.	
Automatic Reinstatement	This field enables you to have automatic reinstatement on the reinstatement day without manual intervention.	

### 3.4.7.2 Limits & Collateral

Refer to [3.3.5.1 Limits & Collateral](#).

### 3.4.7.3 Commission, Charges and Taxes Details

Refer to [3.3.5.2 Commission, Charges and Taxes Details](#).

### 3.4.7.4 Tracers Details

Tracer Details

Tracer Details

Tracer Code	Description	Party Type	Required	Maximum Tracers	Number Sent	Start Days	Last Sent On	Medium	Frequency	Template Id	Action
ACK_TRACER	ACK_TRACER	ISB	<input checked="" type="checkbox"/>								
CHG_COM_TRAC	CHG_COM_TRAC		<input checked="" type="checkbox"/>								
CON_TRACER	CON_TRACER		<input checked="" type="checkbox"/>								

Page 1 of 1 (1-3 of 3 items)

Save & Close Cancel

Provide the tracer details based on the description in the following table:

Field	Description	Sample Values
Tracer Code	Tracer code is auto-populated from the latest LC.	
Description	Tracer description is auto-populated from the latest LC.	
Party Type	Click <b>Search</b> to search and select the party type of the tracers from the lookup.	
Required	Toggle on - Switch on the toggle to capture the tracer details.  Toggle off - Switch of the toggle, if user does not require to capture tracer details.	
Maximum Tracers	Provide the value for maximum number of tracers.	
Number Sent	Provide the number of tracers sent.	

Field	Description	Sample Values
Start Days	Capture the tracer start days.	
Last Sent On	Capture the date on which the tracer is last sent.	
Medium	Select the tracer medium from the LOV: <ul style="list-style-type: none"> <li>• Mail</li> <li>• Swift</li> </ul>	
Frequency	System will default the days set up at the product level. Value can be 1, 2 etc. which represents daily, once in 2 days etc.	
Template ID	Click <b>Search</b> to search and select the party type of the template ID from the lookup.	

### 3.4.7.5 Preview Messages

User can view the draft message (outgoing MT754 and MT742/MT750/MT742 SWIFT message format) being displayed on the preview message text box.

User can preview the MT999 messages for the applicable MT7XX messages generated by the Back office system in the Preview Message.

Preview Messages

Preview - SWIFT Message

Language

English

Message Type

Message Status

Repair Reason

Preview - Mail Advice

Language

English

Advice Type

Message Status

Repair Reason

Preview Message

Preview Message

Save & Close

Field	Description	Sample Values
Preview - SWIFT Message		
Language	Read only field. English is set as default language for the preview.	
Message type	Select the message type from the drop down. User can choose to see preview of different message like MT 700, MT 740 and MT 701.	

Field	Description	Sample Values
Message Status	Read only field. Display the message status of draft message of liquidation details.	
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.	
Preview Message	Display a preview of the draft message.	
Preview - Mail Device		
Language	Read only field. English is set as default language for the preview.	
Advice Type	Select the advice type.	
Message Status	Read only field. Display the message status of draft message of liquidation details.	
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.	
Preview Message	Display a preview of the advice.	

#### **3.4.7.6 FX Linkage**

Refer to [3.3.5.7 FX Linkage](#)

#### **3.4.7.7 Action Buttons**

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Overrides	Click to view overrides, if any.	

Field	Description	Sample Values
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	<p>This button displays the multiple messages (MT760 + up to 7 MT761).</p> <p>In case of MT798, the User can click and view the MT798 message(770,700/701).</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	Cancel the Scrutiny stage inputs and return to dashboard.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

### 3.4.8 Settlement Details

Provide the settlement details based on the description in the following table:

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
COLLAMT_OSEQ	AED	Debit	0322040001	Air Arabia	AED	No	No
COLLAMNDAMTEQ	AED	Debit	0322040001	Air Arabia	AED	No	No
COLLAMTEQ	AED	Debit	0322040001	Air Arabia	AED	No	Yes
COLLAVALAMTEQ	AED	Credit	0322040001	Air Arabia	AED	No	No
LCXADV_LIQD	AED	Debit	0322040001	Air Arabia	AED	No	Yes

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	
Netting Indicator	Application displays the applicable netting indicator.	
Current Event	Application displays the current event as Y or N.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

### 3.4.8.1 Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: <ul style="list-style-type: none"><li>• Customer Transfer</li><li>• Bank Transfer for own account</li><li>• Direct Debit Advice</li><li>• Managers Check</li><li>• None</li><li>• Customer Transfer with Cover</li><li>• Bank Transfer</li></ul>	
Charge Details	Select the charge details for the transactions: <ul style="list-style-type: none"><li>• Beneficiary All Charges</li><li>• Remitter Our Charges</li><li>• Remitter All Charges</li></ul>	
Netting Indicator	Select the netting indicator for the component: <ul style="list-style-type: none"><li>• Yes</li><li>• No</li></ul>	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	
Receiver	Select the receiver from the LOV.	

### 3.4.8.2 Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	

Field	Description	Sample Values
Sender to Receiver 3	Provide the sender to receiver message.	
Sender to Receiver 4	Provide the sender to receiver message.	
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

### 3.4.8.3 Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

### 3.4.8.4 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Upload the required documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Overrides	Click to view overrides, if any.	

Field	Description	Sample Values
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	<p>This button displays the multiple messages (MT760 + up to 7 MT761).</p> <p>In case of MT798, the User can click and view the MT798 message(770,700/701).</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	Cancel the Scrutiny stage inputs and return to dashboard.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

### 3.4.9 Summary

User can review the summary of details updated in Data Enrichment stage Export LC Advise request.

Entity ID1 (Entity ID2)

Oracle Banking Trade Finance

Aug 3, 2023

SANDH

subham@gmail

Export LC Advise

Enrichment - Application No:- 032ELCA000173959

Clarification Details

Documents

Remarks

Overrides

Customer Instruction

Incoming Message

Signatures

Main Details

Availability Shipment

Document Details

Payment Details

Additional Fields

Advices

Revolving Details

Limits and Collaterals

Commission, Charges and taxes

Tracer Details

Preview Messages

Parties Details

Compliance details

Accounting Details

FX Linkage

Settlement Details

Form of LC : **IRREVOCABLE**

Submission Mode : **Desk**

Date of Issue : **2023-08-03**

Available With : **MQCORP10XXX**

Available By : **NEGOTIATION**

Port of Loading :

Port of Discharge :

Document 1 : **AIRDOC**

Document 2 : **INSDOC**

Document 3 : **INVDOC**

Document 4 : **MARDOC**

Document 5 : **OTHERDOC**

Period of Present. :

Confirmation Instr. : **WITHOUT**

Click here to view Additional fields :

Advice 1 :

Advice 2 :

Revolving : **NO**

Revolving In :

Revolving Frequency :

Contribution Currency :

Amount to Earmark : **null**

Limit Status : **Not Verified**

Collateral Currency :

Collateral Contr. :

Collateral Status : **Not Verified**

Deposit Linkage CCY :

Charge : :

Commission : :

Tax : :

Block Status : **Not Initiated**

Tracer Code : **CON\_TRACER**

Required : **No**

Medium :

Frequency :

Language : **ENG**

Preview Message : -

Beneficiary : **Air Arabia**

Applicant : **Washlux Elec...**

Issuing Bank : **CITI BANK NA...**

Advising Bank : **HDFC Bank LL...**

KYC : **Not Initiate...**

Sanctions : **Not Initiate...**

AML : **Not Initiate...**

Event :

AccountNumber :

Branch :

Reference Number :

Linkage Amount :

Contract Currency :

Component. :

Account Number :

Currency :

Request Clarification

Reject

Refer

Hold

Cancel

Save & Close

Back

Print

Submit

#### Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Availability Shipment - User can view and modify availability and shipment details, if required.
- Documents Details - User can view and modify the documents required grid and the additional conditions grid, if required.
- Payment Details - User can view and modify all details related to payments, if required.
- Additional Fields - User can view the details of additional fields of the issued LC.
- Advices - User can view the advices details.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Commission, Charges and Taxes - User can view and modify the commission, charges and taxes details, if required.
- Tracer Details - User can view the tracer details.
- Preview Messages - User can view and modify preview details, if required.
- Parties Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

- Accounting Details - User can view the accounting entries generated by back office system.

---

#### Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

- FX Linkage - User can view the FX linkage details.
- Settlement Details - User can view the settlement details.

### 3.4.9.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Upload the required documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Common Group Messages	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	

Field	Description	Sample Values
Incoming Message	<p>This button displays the multiple messages (MT760 + up to 7 MT761).</p> <p>In case of MT798, the User can click and view the MT798 message(770,700/701).</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
Signature	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Submit	<p>Task will get moved to next logical stage of Export LC Advise.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	

## 3.5 Exceptions

The Export LC Advice request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

### 3.5.1 Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral. Amount block check will be done for all the parties related to the LC.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM Application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number" to the back office. On successful handoff, back office will make use of these "Amount Block Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

### 3.5.1.1 Amount Block Exception

This section will display the amount block exception details.

**Amount Block Exception**

Amount Block Exception Approval :: Application No: GS1ELCA000006268

Type	Contract Currency	Block Amount	Branch	Account	Account Currency	Block Ref No	Block Status	Block Status Details
Charge	GBP	1250	203	20300002650019	GBP	AB3270	BS	
Charge	GBP	50	203	20300002650019	GBP	AB3270	BS	

Buttons: Reject, Hold, Refer, Cancel, Approve, Back, Next

### 3.5.1.2 Summary

**Summary**

Main Details: Form Of LC : IRREVOCABLE, Submission Mode : Desk, Date Of Issue : 2019-02-01

Availability: Available With : ALLAINBBKHA, Available By : NEGOTIATION, Port of Loading : Chennai, Port of Discharge : New York

Payment: Period Of Present : CONFIRM, Confirmation Instr. : CONFIRM

Documents & Conditions: Document 1 : AIRDOC, Document 2 : INSDOC, Document 3 : INVDOC, Document 4 : MARDOC

Revolving Details: Revolving : NO, Revolving In : -, Revolving Frequency : -

Additional Fields: Click here to view : -

Limits Details: Limit Currency : GBP, Limit Contribution : 21250, Limit Status : Not Verified, Collateral Currency : GBP, Collateral Contr. : 2500, Collateral Status : Not Verified

Party Details: Confirming Bank : CITIBANK NY, Advising Bank : HSBC BANK, Beneficiary : NESTLE, Applicant : EMR & CO

Charge: Charge : GBP1300, Commission : -, Tax : -, Block Status : Success

Preview Message: Language : ENG, Preview Message : -

Preview Messages: Language : ENG, Preview Message : -

Compliance: Sanctions : Verified, AML : Verified

Buttons: Reject, Hold, Refer, Cancel, Approve, Back, Next

Tiles Displayed in Summary:

- Main Details - User can view and modify details of application and LC, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.

- Documents Details - User can view and modify the documents required grid and the additional
- conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

### 3.5.1.3 **Action Buttons**

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Cancel	<p>Cancel the Export LC Drawing Amount Block Exception check.</p>	

Field	Description	Sample Values
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

### 3.5.2 Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the customer. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can select a transaction and do the following actions:

#### Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

#### 3.5.2.1 KYC Details

This section will display the KYC details.

ort LC Advise - KYC Exceptional approval :: Application No: PK2ELCA000062863

KYC EXCEPTION APPROVAL

Summary

KYC Details

Party ID	KYC Status	KYC Verified On	KYC Verified Till
001044	Verified	27-03-2021	27-03-2022
000327	Failed		

Reject Refer Hold Approve Back Net

### 3.5.2.2 Summary

rt LC Advise - KYC Exceptional approval :: Application No: PK2ELCA000062863

KYC EXCEPTION APPROVAL

Summary

Screen (

Main Details	Availability Shipment	Document Details	Payment Details	Additional Fields
Form of LC : <b>IRREVOCABL</b> Submission Mode : <b>Desk</b> Date of Issue : <b>2021-05-05</b>	Available With : Available By : Port of Loading : Port of Discharge :	Document 1 : Document 2 :	Period of Present. : Confirmation Instr. : <b>CONFIRM</b>	Click here to view : Additional fields
Advices	Revolving Details	Limits and Collaterals	Commission,Charges and Taxes	Tracer Details
Advice 1 : Advice 2 :	Revolving : <b>NO</b> Revolving In : Revolving Frequency :	Limit Currency : Limit Contribution : Limit Status : <b>Not Verified</b> Collateral Currency : Collateral Contr. : Collateral Status : <b>Not Verified</b>	Charge : Commission : Tax : Block Status : <b>Not Initia</b>	Confirmation Tracer : <b>No</b> Charge Tracer : <b>No</b>
Preview Messages	Parties Details	Compliance details	Accounting Details	
Language : <b>ENG</b> Preview Message : +	Confirming Bank : <b>CITIBANK</b> Beneficiary : <b>GOODCARE PLC</b> Applicant : <b>FIXNETIX</b>	KYC : <b>Not Verified</b> Sanctions : <b>Not Initia</b> AML : <b>Not Initia</b>	Event : Account Number : Branch :	

Reject

Refer

Hold

Approve

Back

N

#### Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents Details - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

### 3.5.2.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance- Limits</li><li>• R5 - Others</li></ul>	
Cancel	<p>Cancel the Export LC Drawing KYC exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

### 3.5.3 Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

## Note

On Approval of the exception task, system should validate the Limit Availability, Limit Expiry Date in the Limit System and create Earmark in the ELCM system. In case if the Limit is not available or the Limit is expired, then system should display an error message and should not allow the user to approve and proceed.

Limit check Exception approver can do the following actions:

### Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

### Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

### Reject

The transaction due to non-availability of limits capturing reject reason.

### 3.5.3.1 Limit/Credit Check

This section will display the limit and collateral details.

**FuTura Bank** Free Tasks FBN UK (GS1) Feb 1, 2019 SRIDHA subham@gmail

port LC Advising - Limit Earmarking Exception Approval :: Application No: GS1ELCA000006268

**CREDIT EXCEPTION** CREDIT EXCEPTION Screen (1)

Summary

**Limit Details**

	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
<input type="checkbox"/>	000265	000265	85	GBP	£21,250.00	Not Verified	

**Collateral Details**

	Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message
<input type="checkbox"/>	Cash Collateral	10	GBP	£2,500.00	501751759263	Not Verified	

**Reject** **Hold** **Refer** **Cancel** **Approve** **Back** **Next**

### 3.5.3.2 Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.

- Payments - User can view and modify all details related to payments, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

### 3.5.3.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Cancel	<p>Cancel the Export LC Amendment Limit exception check.</p>	

Field	Description	Sample Values
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

## 3.6 Multi Level Approval

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

### Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

### 3.6.1 Authorization Re-Key (Non-Online Channel)

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Applicant Party
- LC Currency, Amount
- Beneficiary party
- Expiry Date
- Issuing Bank

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

The screenshot shows the FuTura Bank application interface. On the left is a sidebar with navigation links: Core Maintenance, Dashboard, Maintenance, Security Management, Tasks, and Trade Finance. The main area displays a table of tasks. Overlaid on this is a modal window titled 'Approval Rekey'. The modal has three tabs: 'Incoming Message', 'Documents', and 'Remarks'. The 'Incoming Message' tab is active, showing fields for 'Currency' (set to GBP) and 'Amount' (set to £25,000.00). Both fields have green checkmarks indicating they are correct. At the bottom of the modal are three buttons: 'Proceed', 'Refer', and 'Cancel'. The background table has columns for Action, Priority, Application Number, Process Name, Stage, and Back Office. The first row shows 'Acquire & Edit' for priority 'H' with application number 'GS1ELCA000006272'.

### 3.6.1.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Incoming Message	Displays the incoming message, if any.	
<b>Action Buttons</b>		
Proceed	On proceed, the screen navigates to approval summary screen.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R5 - Others</li> </ul>	
Cancel	Cancel the Import LC Drawing Approval Rekey.	

### 3.6.2 Summary

Port LC Advise - Approval Task Level 1 :: Application No: PK2ELCA000062863

<b>Main Details</b> Term of LC : <b>IRREVOCABL</b> Submission Mode : <b>Desk</b> Date of Issue : <b>2021-05-05</b>	<b>Availability Shipment</b> Available With : Available By : Port of Loading : Port of Discharge :	<b>Document Details</b> Document 1 : Document 2 :	<b>Payment Details</b> Period of Present. : Confirmation Instr. : <b>CONFIRM</b>	<b>Additional Fields</b> Click here to view Additional fields
<b>Advices</b> Advice 1 : Advice 2 :	<b>Revolving Details</b> Revolving : <b>NO</b> Revolving In : Revolving Frequency :	<b>Limits and Collaterals</b> Limit Currency : Limit Contribution : Limit Status : <b>Not Verified</b> Collateral Currency : Collateral Contr. : Collateral Status : <b>Not Verified</b>	<b>Commission, Charges and Taxes</b> Charge : Commission : Tax : Block Status : <b>Not Initia</b>	<b>Tracer Details</b> Confirmation Tracer : <b>No</b> Charge Tracer : <b>No</b>
<b>Review Messages</b> Language : <b>ENG</b> Review Message : -	<b>Parties Details</b> Beneficiary : <b>GOODCARE PLC</b> Applicant : <b>FIXNETIX</b> Confirming Bank : <b>CITIBANK</b>	<b>Compliance details</b> KYC : <b>Not Verified</b> Sanctions : <b>Verified</b> AML : <b>Verified</b>	<b>Accounting Details</b> Event : Account Number : Branch :	

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required

- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional
- conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view preview details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

### 3.6.2.1 **Action Buttons**

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Cancel	Cancel the approval.	

Field	Description	Sample Values
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

## 3.7 **Reject Approval**

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Export LC Advising in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

### 3.7.1 **Application Details**

The application details data segment have values for requests received from both non-online and online channels.

### 3.7.2 **Summary**

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

### 3.7.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

## 4. Processing Incoming MT 710 at the Advise - Through Bank

An advise-through bank is involved in an Export LC Advising process, when the advising bank passes on the LC through another bank for advising the LC to the beneficiary.

The OBTFPM user can process the incoming MT 710 for further advising to the beneficiary. The advise through bank will process the incoming MT 710 in OBTFPM and advise the LC to the beneficiary.

The incoming MT 710 is processed as an STP transaction and the system creates a task in Scrutiny stage of LC Advising process. The MT 710 can also be manually processed if required.

### 4.1 Registration Stage

#### 4.1.0.1 Application Details

All fields displayed under Application details section, would be same as **Export LC Advise - 3.2 Registration - Application Details**. Refer to [3.2.1 Application Details](#) for more information of the fields.

The screenshot shows the Oracle OBTFPM 'Application Details' form. The form is divided into several sections: 'Application Details' (Beneficiary, Currency Code, Amount, Branch, Process Reference Number, Priority, Advising Date, Submission Mode, Issuing Bank), 'Details' (Product Code, Product Description, Contract Reference Number, Date Of Expiry, 39A - Percentage Credit Amount Tolerance), and 'Additional Fields' (Form Of Documentary Credit Details, 31C - Date Of Issue, 51A - Applicant Bank, 39C - Additional Amount Covered, Sender's Reference). The form includes search icons and dropdown menus for various fields. At the bottom right, there are buttons for 'Hold', 'Cancel', 'Save & Close', and 'Sub'.

#### 4.1.0.2 LC Details

The fields listed under this section are same as the fields listed under the **Export LC Advise 3.2.2 LC Details** section in [3.2 Registration](#). Refer to [4.1.0.2 LC Details](#) for more information of the fields.

Following fields are the additional new fields or label changed apart from the fields carried over from [3.2.2 LC Details](#) of [3.2 Registration](#).

Provide the details for the additional fields based on the description in the following table:

Field	Description	Sample Values
Form of Documentary Credit (with same values as 40A –in MT 700)	This field gets auto populated from incoming MT 710.	
Documentary Credit Number (Same as 20- Documentary Credit Number in MT 700).	This field represents the issuing bank reference and gets auto populated from incoming MT 710.	
Sender's Reference	This field represents the issuing bank reference and field gets auto populated from incoming MT 710.	

## 4.2 Scrutiny

All the fields in the incoming MT 710 will be auto populated in Scrutiny Stage, if the MT 710 is received and processed as an STP transaction.

### 4.2.1 Main Details

Fields listed under the MT710 Scrutiny stage is same as **Export LC Advise** [3.3 Scrutiny](#) stage [3.3.1 Main Details](#) section. Refer to [3.3.1 Main Details](#) for more information of the fields.

Following fields are the additional new fields or label changed apart from the fields carried over from [3.3.1 Main Details](#) of [3.3 Scrutiny](#).

Field	Description	Sample Values
Beneficiary	The system will check the Beneficiary name available in the incoming MT 710 with the bank customers data and populate the CIF and the details of the customer if the Beneficiary is a customer of the bank. If the beneficiary is not a customer of the bank, the system should populate the Walk-in CIF and the user should be able to update the name and address of the beneficiary.	

#### 4.2.1.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Advice.  If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the informations provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel Scrutiny stage inputs and return to dashboard.	
Hold	The details provided will be registered and status will be on hold.  This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> Select a reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	
Next	Click Next to move to next logical step in Scrutiny stage.	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

#### 4.2.2 Availability Shipment

The fields are populated with the value available in MT 710, in case of STP of MT 710.

For field descriptions, refer [3.3.2 Availability Shipment](#) section of **Export LC Advise 3.3 Scrutiny** stage.

#### 4.2.2.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Submit	Task will get moved to next logical stage of Export LC Advice. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the informations provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel Scrutiny stage inputs and return to dashboard.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	Click Next to move to next logical step in Scrutiny stage.	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

### 4.2.3 Payment Details

In case LC is processed through STP, system should populate the fields with the value available in MT 710.

Fields listed under the MT710 Scrutiny stage Payment Details section is same as **Export LC Advise** 3.3 Scrutiny stage 3.3.3 Payment Details section. Refer to 3.3.3 Payment Details for more information of the fields.

The screenshot shows the Oracle LC Advise - Scrutiny interface. The top navigation bar includes 'My Tasks', 'Clarification Details', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'. The left sidebar lists navigation options: 'Main Details', 'Availability Shipment', 'Payment Details' (selected), 'Additional Fields', 'Additional Details', and 'Summary'. The main content area is titled 'Payment Details' and displays various fields for payment details, including:

- 49G - Spl Paymt Condn - Beneficiary
- 49H - Spl Paymt Condn - Rec Bank
- 49 - Confirmation Instructions
- 48 - Period for Presentation
- 53A - Reimbursing Bank
- 57A - Advise Through Bank
- 71 D Charges
- 72 - Sender to Receiver Information
- 79 Z Narrative
- 77 - Issuing Bank Account No
- 57a - Account with Bank
- 78 - Instructions to P/A/N Bank

The interface also shows a 'Request Clarification' button and a 'Reject' button.

Following fields are the additional new fields or label changed apart from the fields carried over from [3.3.3 Payment Details](#) of [3.3 Scrutiny](#).

Field	Description	Sample Values
To be confirmed by Advising Bank	<b>Toggle On:</b> Set the toggle on to confirm by advising bank. <b>Toggle Off:</b> Set the toggle off for not to be confirmed by advising bank.	

## MT730 - Acknowledgment Sent

Fields listed under this section is same as [MT730 - Acknowledgement Sent](#) section in **Export LC Advise** [3.3.3 Payment Details](#) section. Only the section label is changed. Refer to [MT730 - Acknowledgement Sent](#) for more information of the fields.

Confirmation to be done by ATB only if To be confirmed by ATB is enabled.

#### 4.2.3.1 Action Buttons

Use action buttons based on the description in the following table:**Additional fields** – Same as in Export

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Submit	Task will get moved to next logical stage of Export LC Advice.  If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the informations provided and holds the task in you queue for working later.  This option will not submit the request	
Cancel	Cancel Scrutiny stage inputs and return to dashboard.	
Hold	The details provided will be registered and status will be on hold.  This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> Select a reject code and give a reject description.  This reject reason will be available in the remarks window throughout the process.	
Next	Click Next to move to next logical step in Scrutiny stage.	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

LC

## 4.2.4 Additional Fields

Fields listed under the MT710 Additional Fields Scrutiny stage is same as **Export LC Advise** [3.3 Scrutiny](#) stage [3.3.4 Additional Fields](#) section. Refer to [3.3.4 Additional Fields](#) for more information of the fields.

The screenshot displays the Oracle MT710 Additional Fields Scrutiny stage interface. The top header includes the Oracle logo, 'My Tasks', and user information (JEE, subhram@gmail.com). The main content area is titled 'Additional Fields' and shows a list of fields for scrutiny. The left sidebar contains navigation links: Main Details, Availability Shipment, Payment Details, Additional Fields (selected), Additional Details, and Summary. The bottom of the interface features a row of action buttons: Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, and No.

ORACLE My Tasks

PK21 Mar 22, 2019 JEE subhram@gmail.com

Clarification Details Overrides Incoming Message

Screen (4)

Set

Request Clarification Reject Refer Hold Cancel Save & Close Back No

#### 4.2.4.1 Action Buttons

Use action buttons based on the description in the following table:**Additional fields** – Same as in Export

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Submit	Task will get moved to next logical stage of Export LC Advice.  If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the informations provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel Scrutiny stage inputs and return to dashboard.	
Hold	The details provided will be registered and status will be on hold.  This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> Select a reject code and give a reject description.  This reject reason will be available in the remarks window throughout the process.	
Next	Click Next to move to next logical step in Scrutiny stage.	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

LC

## 4.2.5 Additional Details

The screenshot shows the Oracle JET application interface for 'Export LC Advise - Scrutiny' with Application No: PK2ELCA000042691. The left sidebar contains a menu with 'Additional Details' selected. The main content area displays three panels: 'Revolving Details', 'Limits and Collaterals', and 'Commission, Charges and taxes'. The 'Revolving Details' panel shows 'Revolving : No', 'Revolving In :', and 'Revolving Frequency :'. The 'Limits and Collaterals' panel shows 'Limit Currency :', 'Limit Contribution :', 'Limit Status :', 'Collateral Currency :', 'Collateral Contribution :', and 'Collateral Status :'. The 'Commission, Charges and taxes' panel shows 'Charge :', 'Commission :', 'Tax :', and 'Block Status :'. The bottom of the screen has a navigation bar with buttons: 'Request Clarification', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

### 4.2.5.1 Charges

Fields listed under the MT710 Additional Details - Charges is same as **Export LC Advise 3.3 Scrutiny** stage 3.2.1 **Application Details** section. Refer to 3.3.5 **Additional Details** for more information of the fields.

### 4.2.5.2 Limits and Collateral

This section is available if Advise Through Bank is confirming the LC.

## 4.2.6 Summary

Fields listed under the MT710 Summary is same as **Export LC Advise 3.3 Scrutiny** stage 3.3.6 **Summary** section. Refer to 3.3.6 **Summary** for more information of the fields.

The screenshot shows the Oracle JET application interface for 'Export LC Advise - Scrutiny' with Application No: 000ELCA000039559. The left sidebar contains a menu with 'Summary' selected. The main content area displays a 'Summary' section with eight panels: 'Main Details', 'Availability Shipment', 'Payment Details', 'Additional Fields', 'Revolving Details', 'Limits and Collaterals', 'Commission, Charges and taxes', and 'Parties Details'. The 'Main Details' panel shows 'Form Of LC : IRREVOCABLE', 'Submission Mode : Desk', and 'Date Of Issue : 2014-01-01'. The 'Availability Shipment' panel shows 'Available With : POPRIT31049', 'Available By : NEGOTIATION', 'Port of Loading : London', and 'Port of Discharge : Mumbai'. The 'Payment Details' panel shows 'Period Of Present :', 'Confirmation Instr. :', and 'Additional fields :'. The 'Revolving Details' panel shows 'Revolving : NO', 'Revolving In :', and 'Revolving Frequency :'. The 'Limits and Collaterals' panel shows 'Limit Currency : USD', 'Limit Contribution : 2300', 'Limit Status : Available', 'Collateral Currency :', 'Collateral Contr. :', and 'Collateral Status : Not Verified'. The 'Commission, Charges and taxes' panel shows 'Charge :', 'Commission :', 'Tax :', and 'Block Status : Not Initia'. The 'Parties Details' panel shows 'Beneficiary : TRADE\_CIF', 'Confirming Bank : WELLS FARG', and 'Applicant : NESTLE'. The bottom of the screen has a navigation bar with buttons: 'Request Clarification', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', 'Next', and 'Submit'.

## 4.3 **Data Enrichment Stage**

All fields with values are populated from scrutiny stage. In addition, if the transaction is created through STP, the Documents and Conditions fields are also auto populated.

### 4.3.1 **Availability and Shipment**

Fields are same as Scrutiny stage.

### 4.3.2 **Payment Details Confirmation**

Fields are same as Scrutiny stage.

### 4.3.3 **Additional fields**

Fields listed under the Additional fields are same as **Export LC Advise 3.3 Scrutiny** stage [3.3.4 Additional Fields](#) section. Refer to [3.4.5 Additional Fields](#) for more information of the fields.

#### 4.3.3.1 **Advices**

Advices are to be simulated from back office and displayed to the user. User should be able to suppress the advices. If FFT are available for the advices, the FFT should be populated automatically under th advice. If the advice is suppressed, the system should prompt the user to remove any attached FFT from the respective screens.

#### 4.3.3.2 **Charges**

Fields listed under the Additional fields- Charges are same as **Export LC Advise 3.3 Scrutiny** stage.

#### 4.3.3.3 **Limits and Collateral**

This section is available if Advise Through Bank is confirming the LC as in Scrutiny stage.

#### 4.3.3.4 **Preview Message**

All the messages (SWIFT and mail advice) to be available for preview. If any of the advices are suppressed the corresponding Preview message should not be displayed.

### 4.3.4 **Summary**

The tiles displayed in this section is same as [3.4 Data Enrichment](#) stage of **Export LC Advise**. In addition to DE stage of Export LC Advising, system should also display **Advices** and **Accounting entries** tile and the user should be able to see the accounting entries simulated

from back office. After simulation, if the values are changed, the accounting entries should also be re-simulated.

## Tiles Displayed in Summary

In addition to Data Enrichment stage of Export LC Advising, system should also display the below tiles.

- Advices - User can view the advice details.
- Accounting Entries - User can view the accounting entries generated in back office.

## 4.4 Approval

### 4.4.1 Approval Re-key

This Approval Re-key is applicable only in case of manual processing of MT 710.

## 4.4.2 Approval Summary

The tiles displayed in this section is same as 4.4.2 Approval Summary of Export LC Advise. In addition to Approval Summary stage of Export LC Advising, system should also display **Advices** and **Accounting entries** tiles.

**ORACLE** Tâches libres

ort LC Advise - Approval Task Level 1 :: Application No: 000ELCA00039559

Clarification Details | Incoming Message

LC Details	Availability Shipment	Document Details	Payment Details	Additional Fields
LC Type : <b>IRREVOCABLE</b> Issuance Mode : <b>Desk</b> Date Of Issue : <b>2014-01-01</b>	Available With : <b>POPRIT31049</b> Available By : <b>NEGOTIATION</b> Port of Loading : <b>London</b> Port of Discharge : <b>Mumbai</b>	Document 1 : <b>AIRDOC</b> Document 2 : <b>INSDOC</b> Document 3 : <b>INSDOC</b> Document 4 : <b>MARDOC</b> Document 5 : <b>OTHERDOC</b>	Period Of Present. : Confirmation Instr. : <b>CONFIRM</b>	Click here to view Additional fields

Advices	Revolving Details	Limits and Collaterals	Commission, Charges and taxes	Tracer Details
Advice 1 : Advice 2 :	Revolving : <b>NO</b> Revolving In : Revolving Frequency :	Limit Currency : <b>USD</b> Limit Contribution : <b>2300</b> Limit Status : <b>Not Verified</b> Collateral Currency : Collateral Contr. : Collateral Status : <b>Not Verified</b>	Charge : Commission : Tax : Block Status : <b>Not Initia</b>	Confirmation Tracer : <b>No</b> Charge Tracer : <b>No</b>

View Messages	Parties Details	Compliance details	Accounting Details
Language : <b>ENG</b> View Message : -	Confirming Bank : <b>WELLS FARG</b> Beneficiary : <b>TRADE CIF</b> Applicant : <b>NESTLE</b>	KYC : <b>Not Verified</b> Sanctions : <b>Not Initia</b> AML : <b>Not Initia</b>	Event : AccountNumber : Branch :

Reject | Hold | Refer | Cancel | Approve

### Tiles Displayed in Summary

In addition to Data Enrichment stage of Export LC Advising, system should also display the below tiles.

- Advices - User can view the advice details.
- Accounting Details - User can view the accounting entries generated in back office.

### Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

## A

Additional Details	
Action Buttons .....	51
Charge Details .....	45,
73	
Limits & Collateral .....	37,
73	
Availability & Shipment	
Action Buttons .....	28
Availability Details .....	22
Description Of Goods And Or Services .....	27
Shipment Details .....	24

## D

Data Enrichment .....	60
Additional Fields .....	67
Advices .....	67
Availability & Shipment .....	62
Document Details .....	63
Main Details .....	62
Payment Details .....	67
Document Details	
Additional Conditions .....	64
Documents Required .....	63

## E

Exceptions	
Exception - Know Your Customer (KYC) ....	89
Exception - Limit Check/Credit .....	91
Export LC Advice	
Data Enrichment .....	60
Export LC Drawing .....	1
Multi Level Approval .....	94
Registration .....	1
Reject Approval .....	97
Scrutiny .....	12

## I

Import LC Issuance	
Scrutiny .....	14

## K

Key Features .....	3
--------------------	---

## M

Main Details	
Action Buttons .....	99,
100, .....	102,
103, .....	104

Application Details .....	19
LC Details .....	99

Multi Level Approval	
Authorization Re-Key .....	94

## O

Overview .....	3
----------------	---

## P

Payment Details	
Action Buttons .....	34
Application .....	30
Payment Details .....	30

## R

Registration .....	1
Application Details .....	4
LC Details .....	7
Miscellaneous .....	11
Reject Approval .....	97
Action Buttons .....	98
Application Details .....	97
Summary .....	97

## S

Scrutiny .....	12, 14
Additional Details .....	37
Availability & Shipment .....	21
Main Details .....	19
Payment Details .....	30
Summary .....	57,
110, .....	111

## T

Tracer Details	
Acceptance Tracer .....	74
Discrepancy Tracer .....	74
Payment Tracer .....	74